

# Clinical Applications of Circulating Tumor Cells (CTCs)

**Klaus Pantel**

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Hamburg (UCCH)

Adjunct Professor, University of Bergen, Norway

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# Klaus Pantel – Career Development Steps

since 2016	Visiting Professor of Medicine, University of Bergen, Norway
since 2015	Member of the Executive Board of the University Cancer Center Hamburg – Hubertus Wald Tumor Center
since 06/2002	Director of the new Institute of Tumor Biology, Full Professor (C4) of Medicine, University Hospital Eppendorf (UKE), University of Hamburg, Germany
01/1999 – 05/2002	Professor (C3) of Molecular Oncology; Head, Molecular Oncology, Department of Gynecology & Obstetrics, UKE, Hamburg
05/1989 – 12/1999	Group Leader, Ass. Professor, Ludwig-Maximilians-Universität Munich, Institute of Immunology; Habilitation in Immunology (1995)
05/1987 – 04/1989	Postdoctoral DFG & DKH Fellow, Wayne State University Detroit, MI, USA, Topic: Experimental Hematology – Stem Cell Regulation
1987	Dr. med., University of Cologne Subject: Mathematical Modeling in Hematopoiesis

# ELBS: Translation from discovery to clinical implementation

## Goals:

- Foster the introduction of *liquid biopsy* into clinical practice.
- Encourage interactions between academia and industry.
- Provide a partner for regulatory agencies, healthcare providers and patient advocacy groups
- Support the implementation of liquid biopsy tests into clinical trials
- Develop guidelines and provide training in *liquid biopsy*
- Disseminate the knowledge about *liquid biopsy*
- Increase visibility of Europe as leading hub for *liquid biopsy* research
- Outreach to non-EU networks

THE EUROPEAN  
**LIQUID BIOPSY**  
SOCIETY

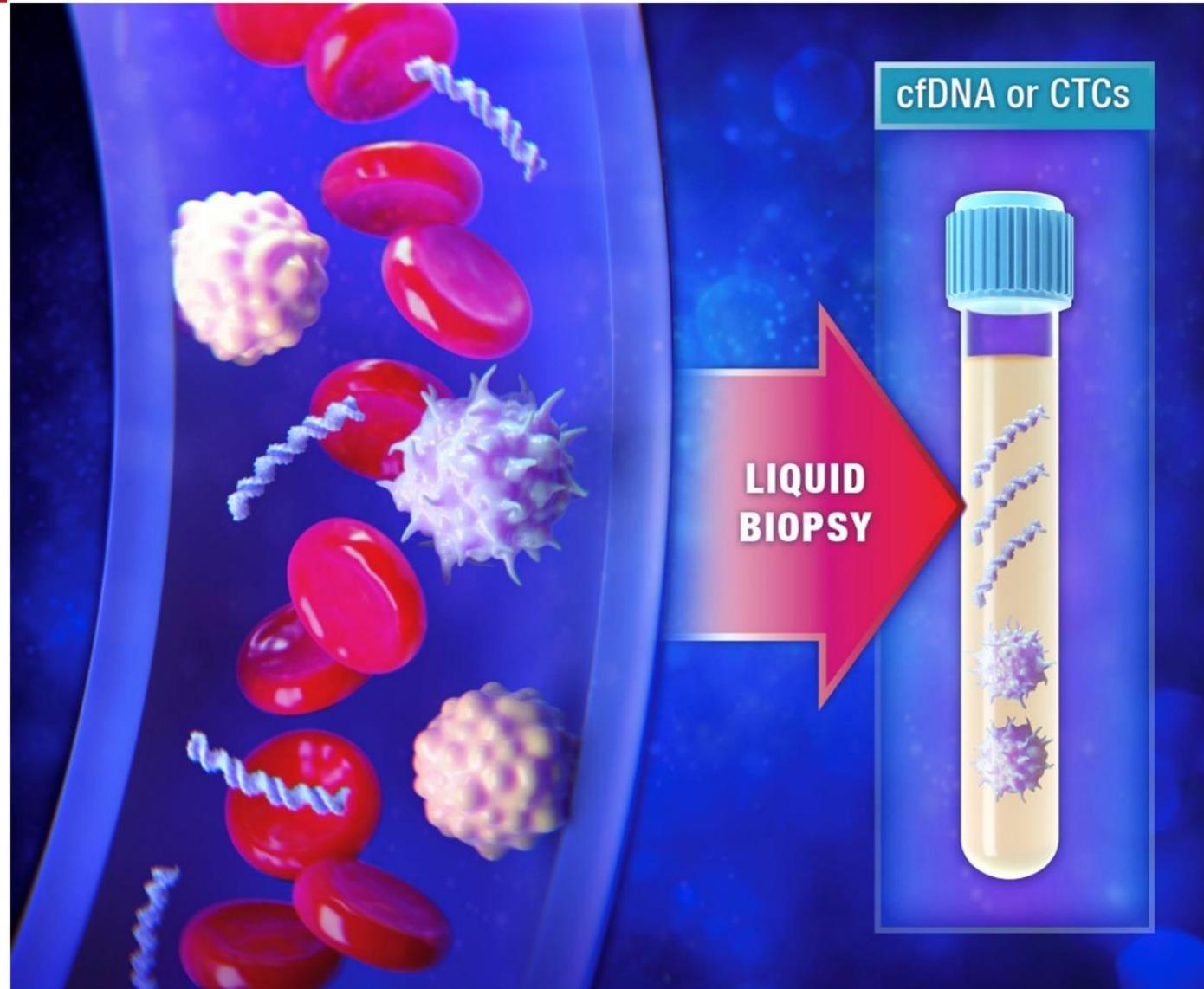
64 Institutions  
from  
Academia  
& Industry

Coordinator: Klaus  
Pantel, UKE  
[pantel@uke.de](mailto:pantel@uke.de)



ELBS is a Founding Members of the International Liquid Biopsy Standardization Alliance coordinated by the Foundation of the National Institute of Health (NIH), USA (Coordination: Dana Connors)  
White Paper: Connors et al., Crit. Rev. Hematol. Oncol. 2020

# Liquid Biopsy: Clinical Applications



## DIAGNOSIS:

Genotyping cfDNA in the blood to determine the tumor profile

## RESPONSE AND FOLLOW UP:

Analysis of cfDNA and CTC for real time monitoring of response to treatment

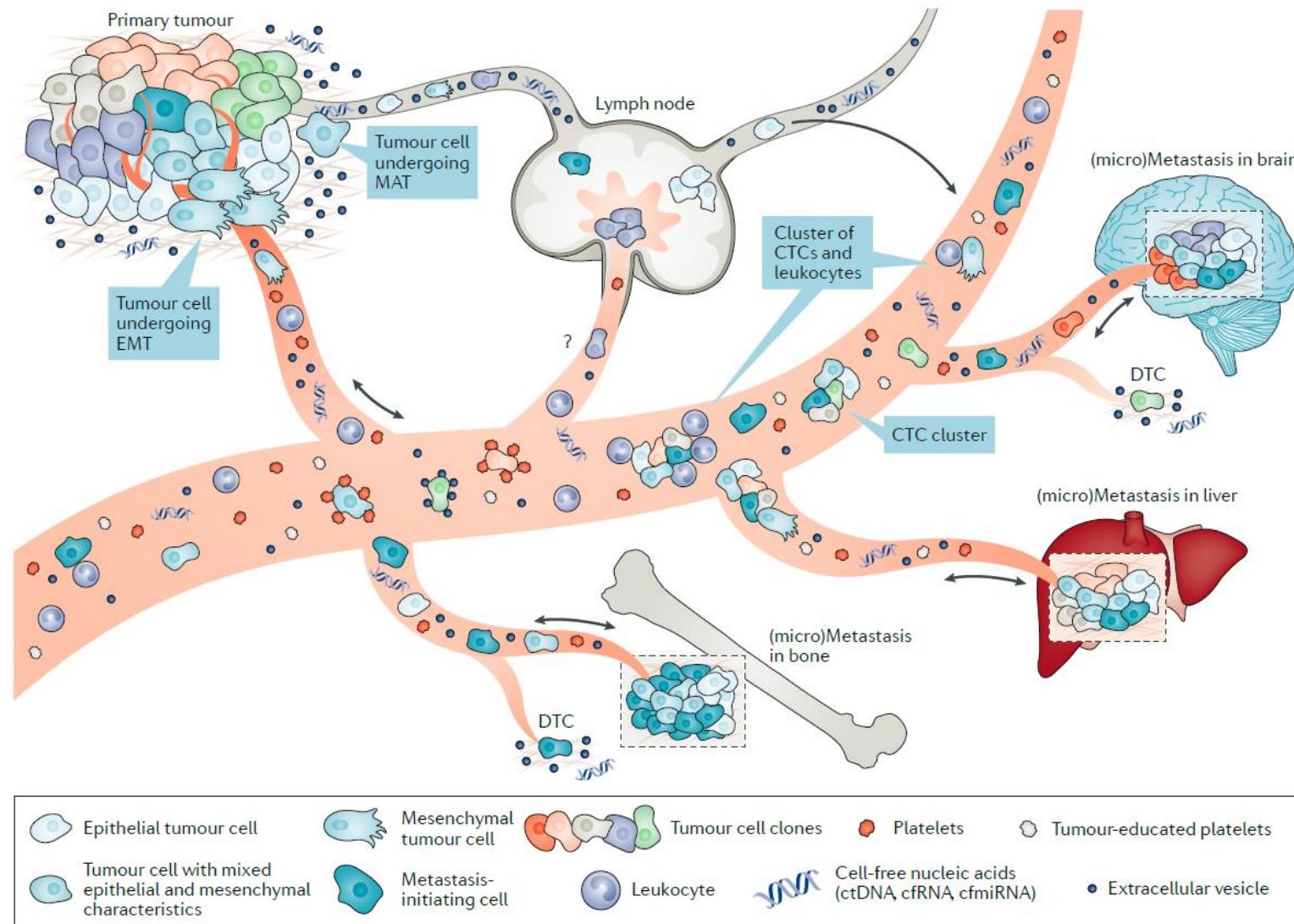
## TUMOR EVOLUTION:

Emergence of molecular alterations associated with resistance to therapy

## MINIMAL RESIDUAL DISEASE:

The presence of cfDNA or CTC in the circulation indicates that the disease is still present

# Liquid Biopsy: Comprehensive assessment of circulating blood biomarkers



# Early Detection of Cancer



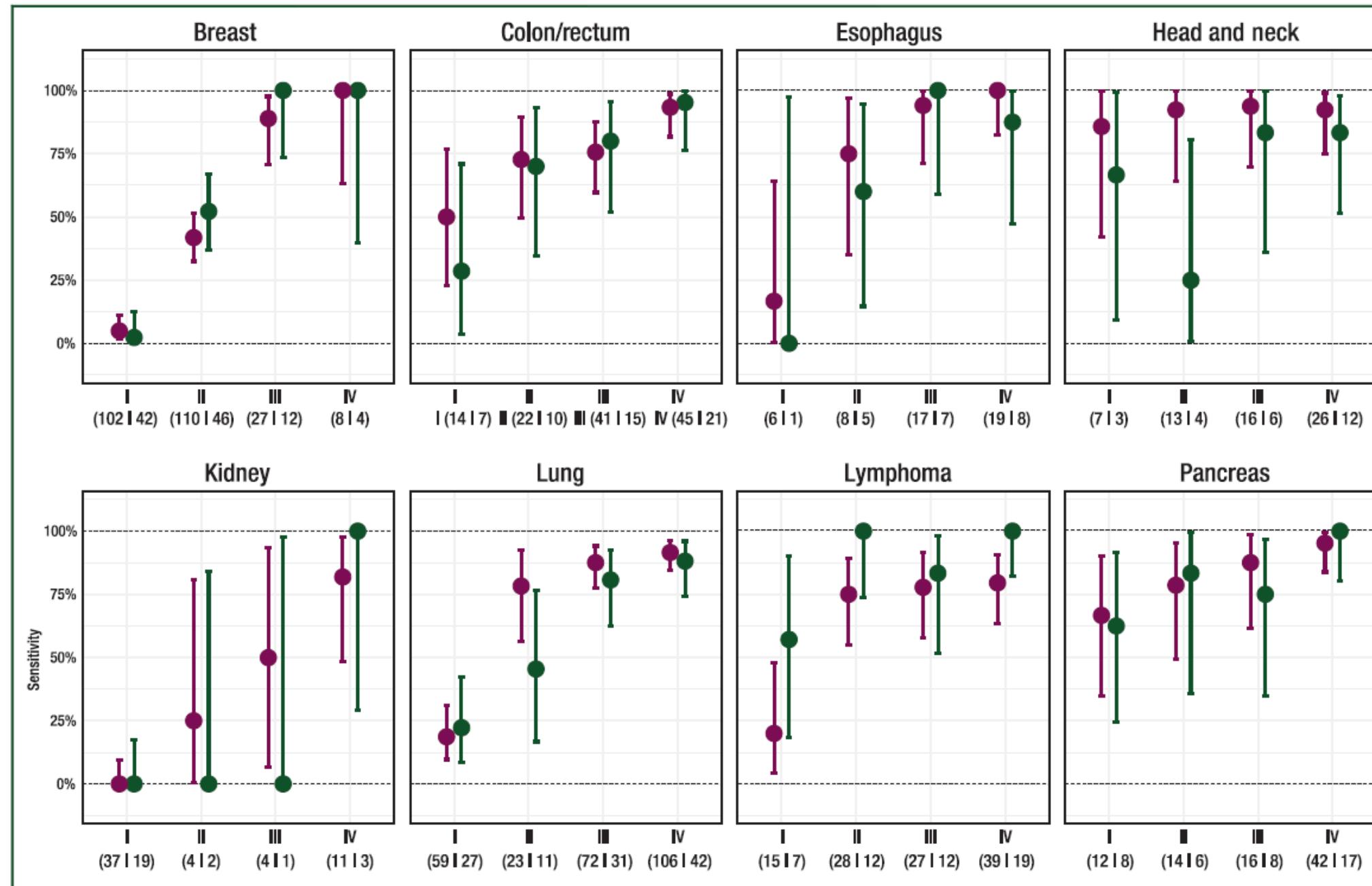
ORIGINAL ARTICLE

## Sensitive and specific multi-cancer detection and localization using methylation signatures in cell-free DNA

M. C. Liu<sup>1†</sup>, G. R. Oxnard<sup>2†</sup>, E. A. Klein<sup>3</sup>, C. Swanton<sup>4,5</sup>, M. V. Seiden<sup>6\*</sup> & on behalf of the CCGA Consortium<sup>‡</sup>

<sup>1</sup>Division of Medical Oncology, Department of Oncology, Mayo Clinic, Rochester; <sup>2</sup>Lowe Center for Thoracic Oncology, Dana Farber Cancer Institute, Boston; <sup>3</sup>Glickman Urological and Kidney Institute, Cleveland Clinic, Cleveland, USA; <sup>4</sup>Cancer Evolution and Genome Instability Laboratory, The Francis Crick Institute; <sup>5</sup>Cancer Evolution and Genome Instability Laboratory, University College London Cancer Institute, London, UK; <sup>6</sup>US Oncology Research, US Oncology, The Woodlands, USA

Available online 30 March 2020



## EU Marie Curie Network: European Liquid Biopsy Academy (ELBA)

Start: January 2018, Focus: Detection of Lung Cancer

Coordinator: Tom Würdinger, Amsterdam

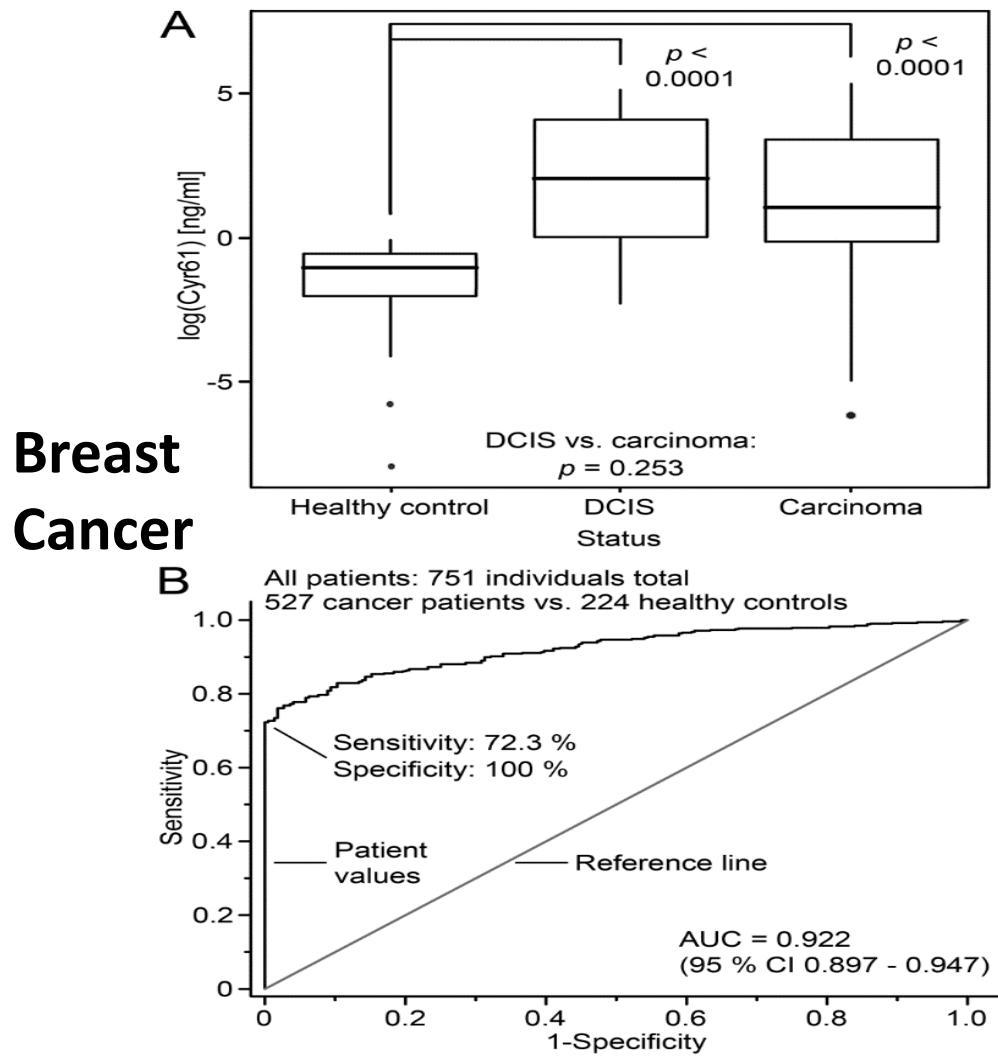
Deputy Coordinator: Klaus Pantel, Hamburg

## New ERA-NET TRANSCAN Project: *PROLIPSY*

Start: June 2018, Focus: Detection of prostate cancer

Coordinator: Klaus Pantel, Hamburg

PIs: C. Alix-Panabieres, D. Bonci, J. Budna/M. Zabel, E. Lianidou



**Breast Cancer:** Bartkowiak, Heidrich, Pantel et al, Clin Chem. 2021

**Lung Cancer:** Ackar, Pantel et al., Mol. Oncol. 2021

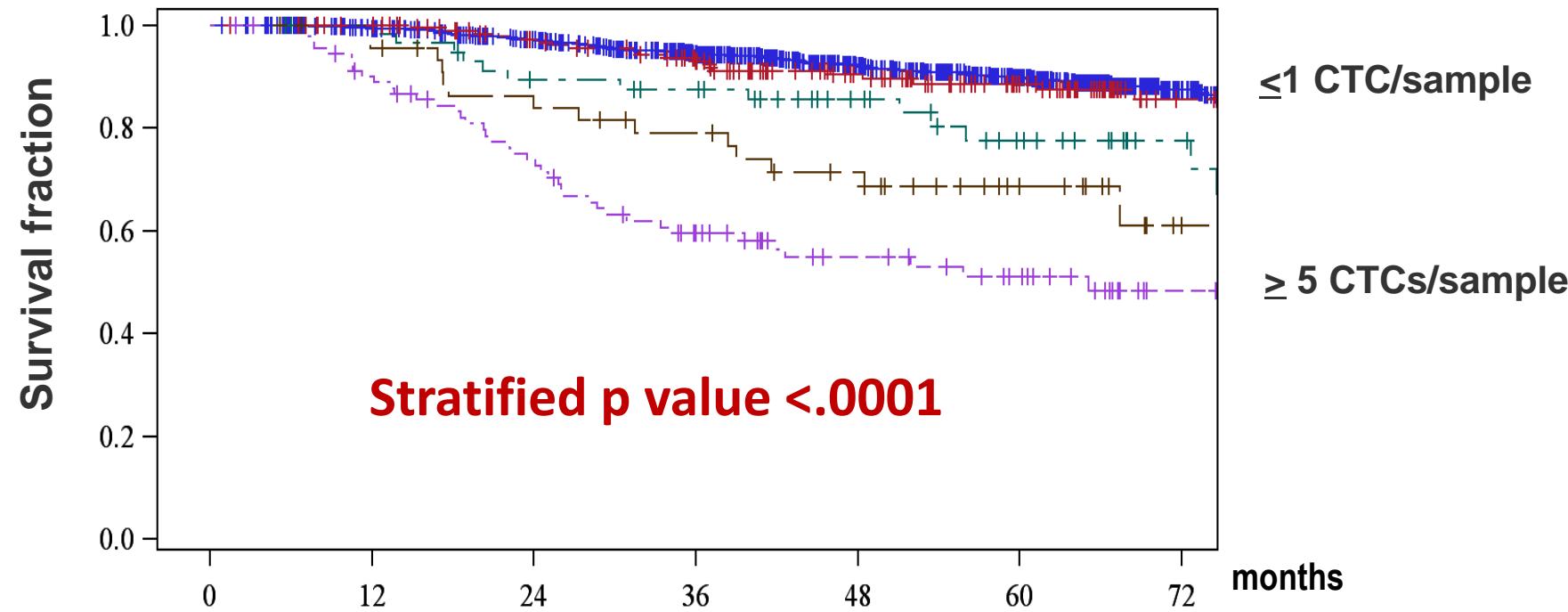
**Asbestos-related diseases:** Bartkowiak, Pantel et al., Clin. Chem. 2020

- Breast Cancer: Xenidis, Lianidou, Mavroudis et al., JCO 2006, Rack, Pantel, Janni et al. *JNCI* 2014; Janni, Pantel et al. *Clin Cancer Res* 2016; Riethdorf, Pantel et al., *Clin Cancer Res.*, 2017; Bidard, Pierga, Pantel et al, *JNCI* 2018
- Bladder Cancer: Rink, Pantel et al. *Eur Urol* 2012; Giavazzi, Pantel et al. *Int J Cancer* 2014

**CTCs can be used as enrichment tool to study a high risk population  
cMO(i+), AJCC Cancer Staging Manual 2018 for breast cancer**

- Colorectal Cancer: Yokobori, Mimori, Mori, Pantel et al. *Cancer Res* 2013 (incl. stage II); Deneve, Pantel, Alix-Panabieres et al. *Clin Chem* 2013; Abdallah, Pantel et al, PlosOne 2021; Heidrich, Pantel et al, *Cancers* 2021
- Pancreatic Cancer: Effenberger, Bockhorn, Pantel et al. *Clin Cancer Res* 2018; Christine Nitschke (Oral Presentation - Session 02: Thursday 1pm)
- Merkel Cell Cancer: Riethdorf, Pantel et al., *Clin. Chem.* 2018
- Melanoma: Wiltfang, Roeck, Pantel et al, *Cancers*, 2019

# CTC before Neoadjuvant Cancer Therapy & Overall Survival in Breast Cancer



	N pts	% events	Hazard Ratio
<b>0 CTC</b>	1175	9.8%	1
<b>1 CTC</b>	199	10.6%	1.09 [0.65-1.69]
<b>2 CTCs</b>	59	23.7%	<b>2.63</b> [1.42-4.54]
<b>3-4 CTCs</b>	47	29.8%	<b>3.84</b> [2.08-6.66]
<b>≥ 5 CTCs</b>	93	46.2%	<b>6.25</b> [4.34-9.09]

# 2<sup>nd</sup> ERC Advanced Investigator Grant INJURMET (PI: Klaus Pantel, 2019-2024)

Diagnostic Biopsies, Surgery, Radiotherapy  
(Breast & Prostate Cancer)

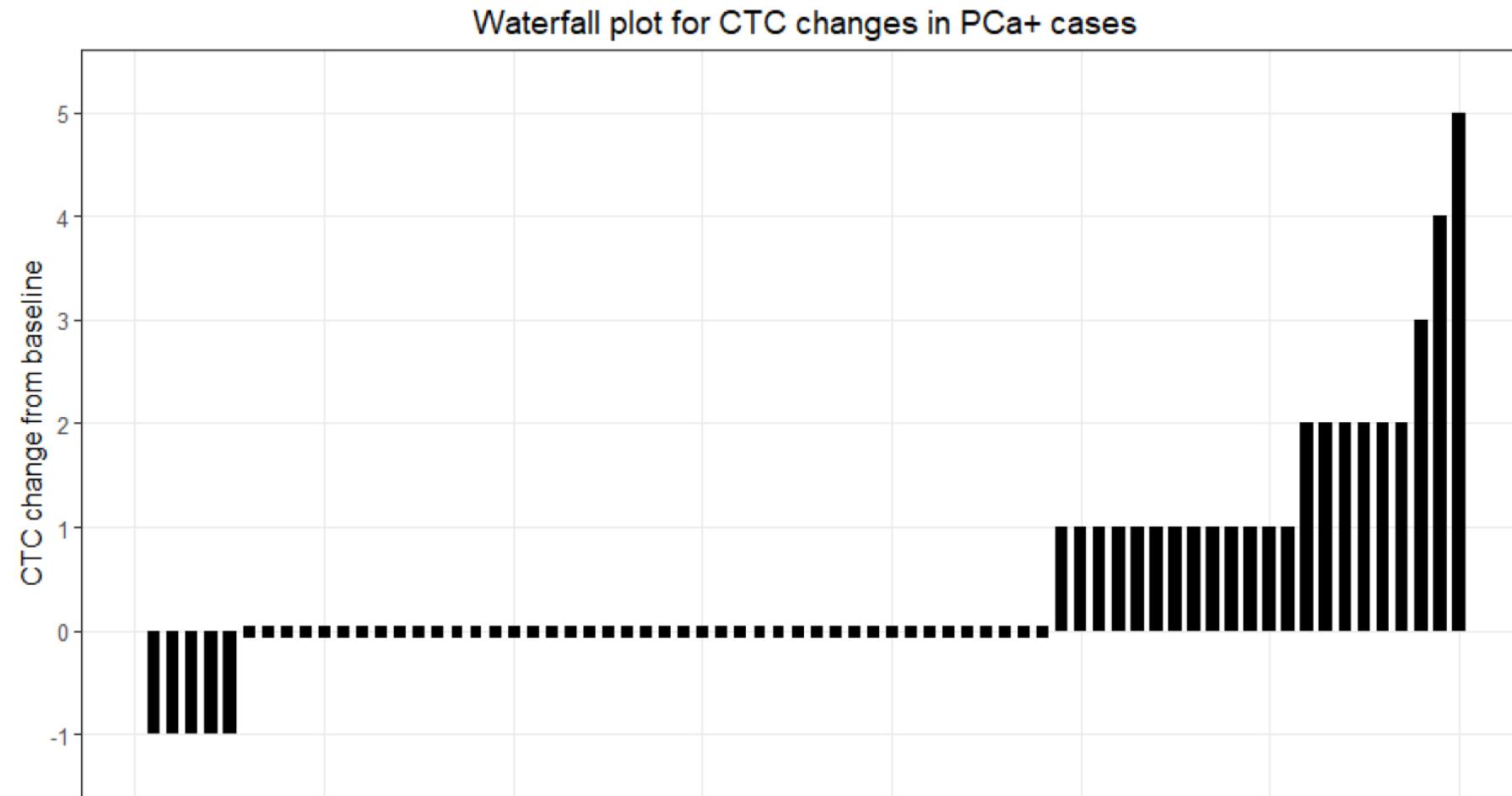


## REVIEWS

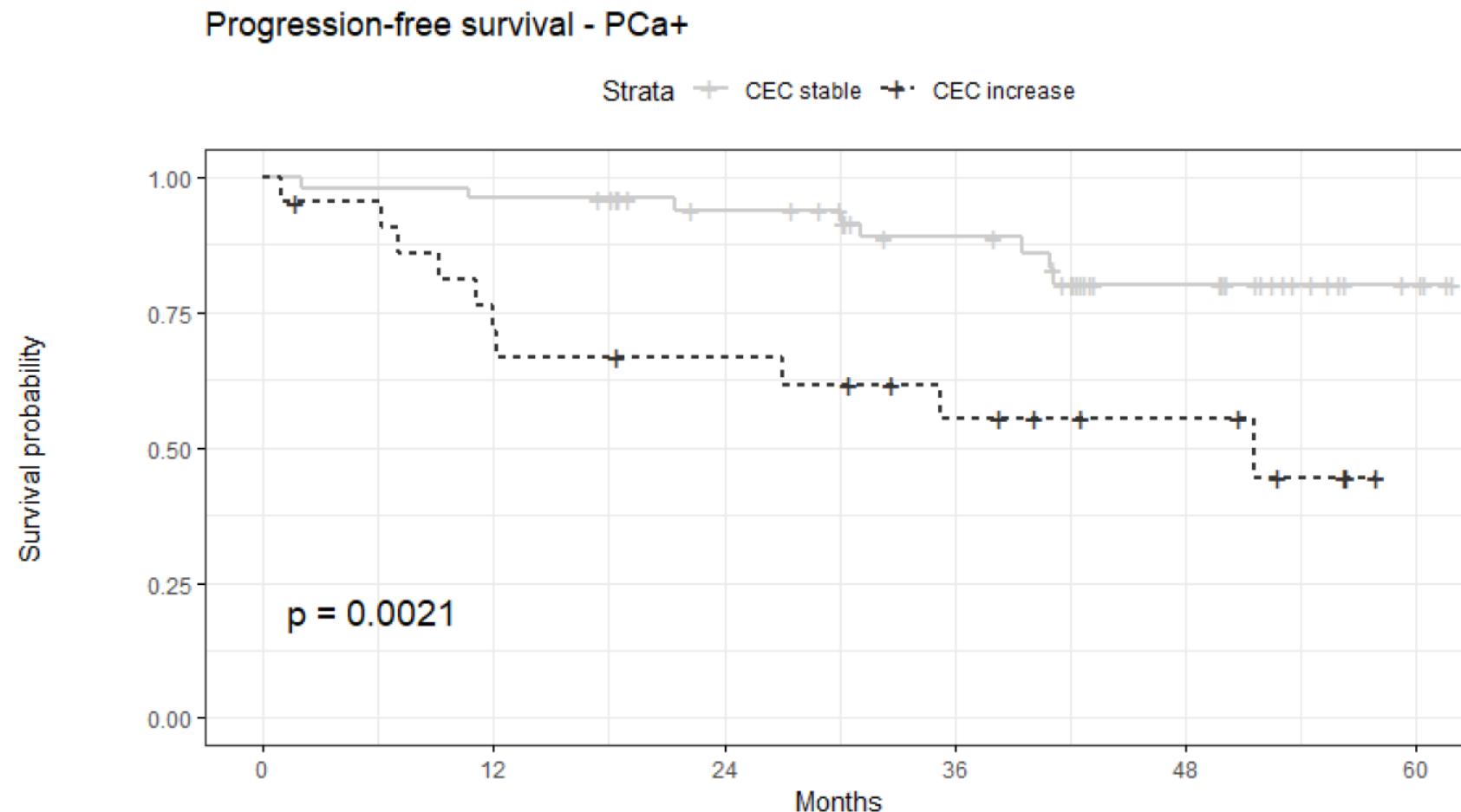
Does the mobilization of circulating tumour cells during cancer therapy cause metastasis?

Olga A. Martin<sup>1,2,4</sup>, Robin L. Anderson<sup>3,4</sup>, Kailash Narayan<sup>1,4,5</sup> and Michael P. MacManus<sup>1,4</sup>

# Increase in numbers of circulating epithelial cells in blood after biopsy of men subsequently diagnosed with prostate cancer



# Progression-free survival in 74 prostate cancer patients with (n=22) and without (n=52) increase in numbers of circulating epithelial cells in blood after biopsy

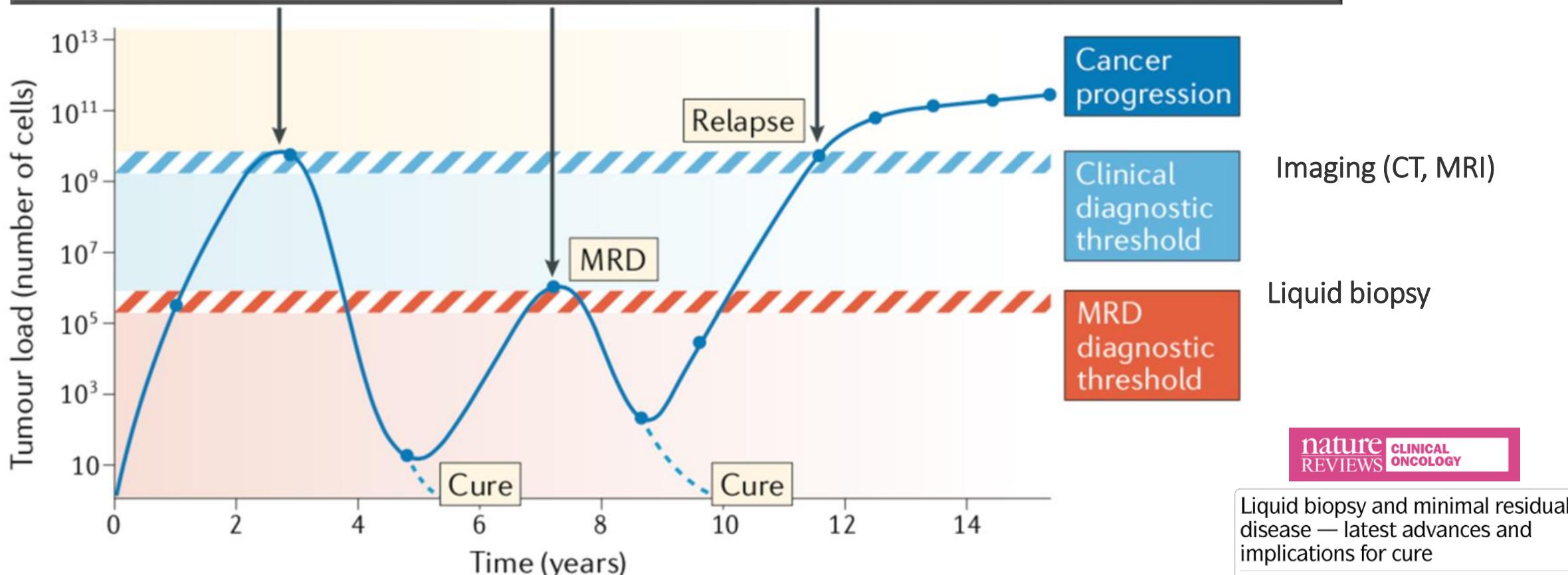


# Minimal Residual Disease (MRD)

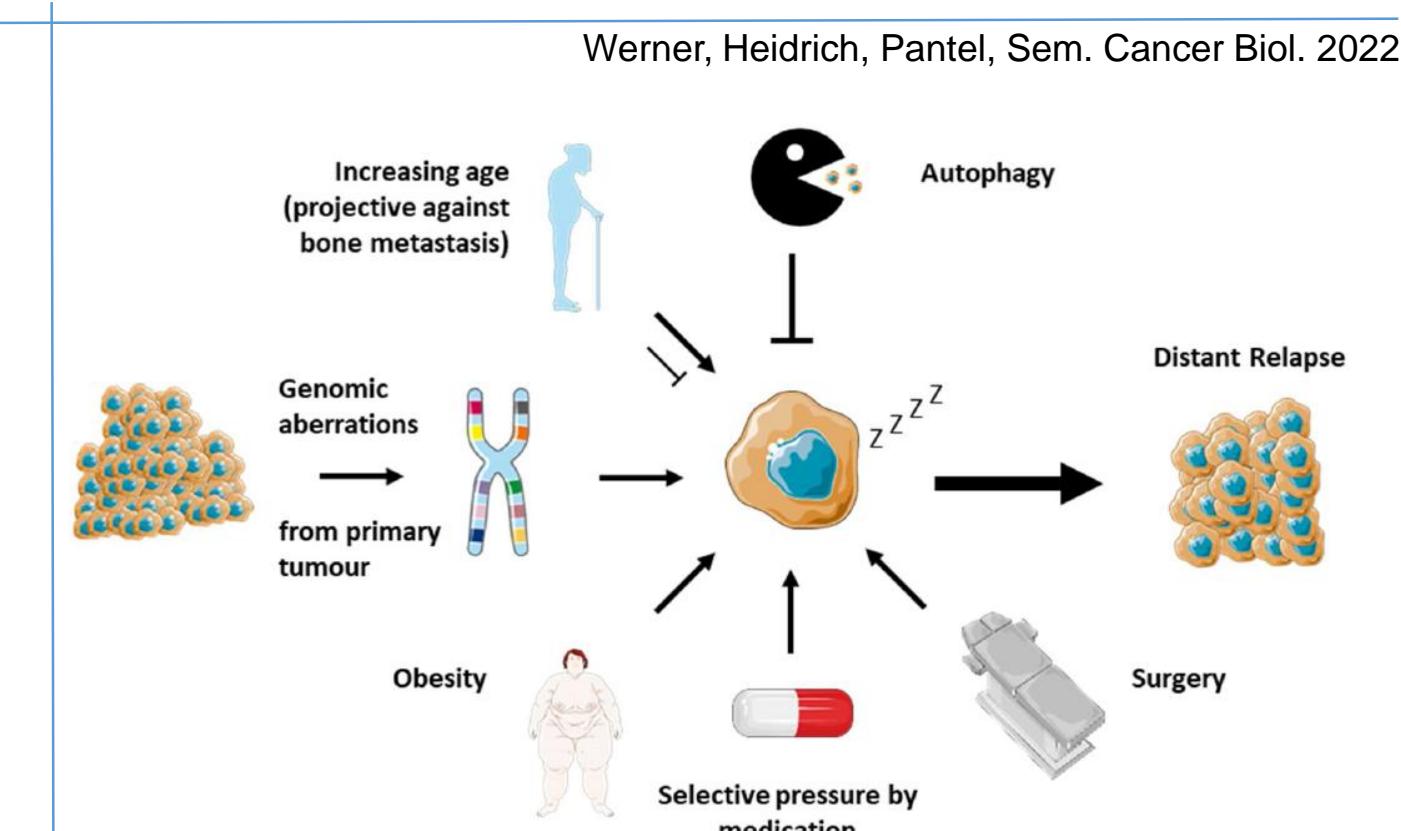
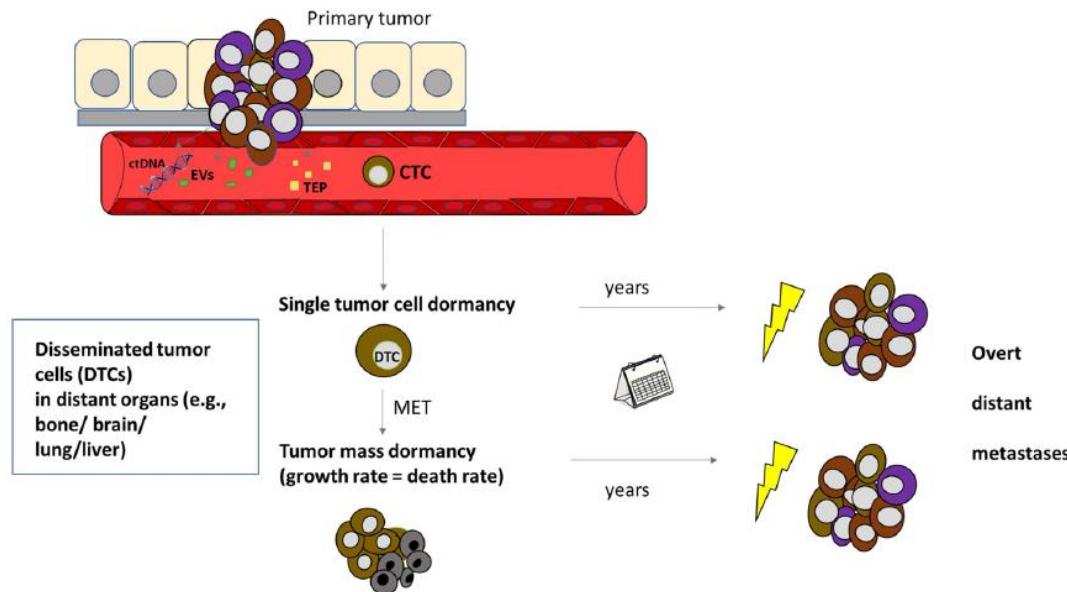
# Tumor evolution: Dynamic changes in tumour burden in cancer patients

**Challenge: Detect Minimal Residual Disease (MRD) and monitor tumor evolution in individual cancer patients as prerequisite for early intervention**

(Alix-Panabieres & Pantel, *Nature Rev. Cancer* 2014; Bardelli & Pantel, *Cancer Cell* 2017; Pantel & Hayes, *Nature Rev. Clin. Oncol.* 2018; Pantel & Alix-Panabieres, *Nature Rev. Clin. Oncol.* 2019; Keller & Pantel, *Nature Rev. Cancer* 2019, Hofbauer, Pantel et al, *Nature Rev. Clin. Oncol.* 2020)



# Cancer dormancy & MRD

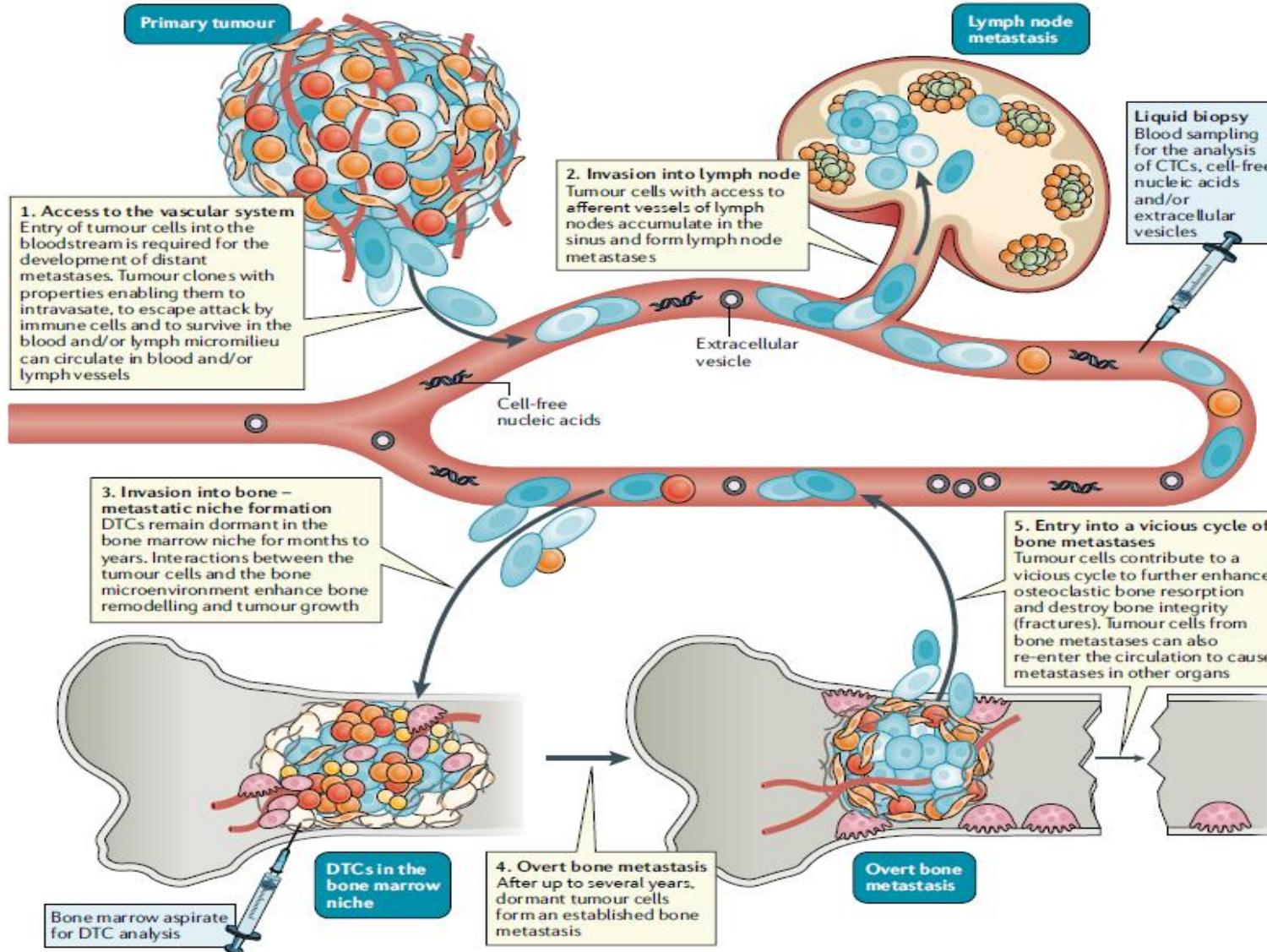


Article

## Metastatic Breast Cancer Recurrence after Bone Fractures

Nadia Obi <sup>1,†</sup>, Stefan Werner <sup>2,3,†</sup>, Frank Thelen <sup>4</sup> , Heiko Becher <sup>1</sup> and Klaus Pantel <sup>2,\*</sup>

# Biology of Micrometastasis in Bone Marrow



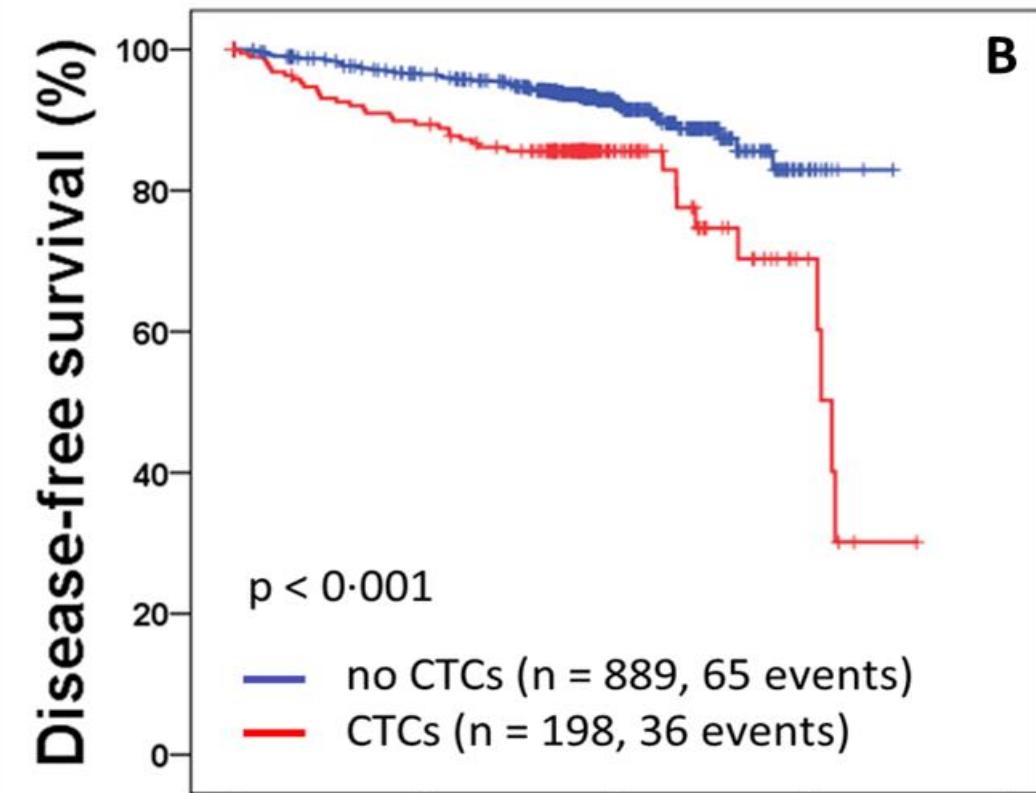
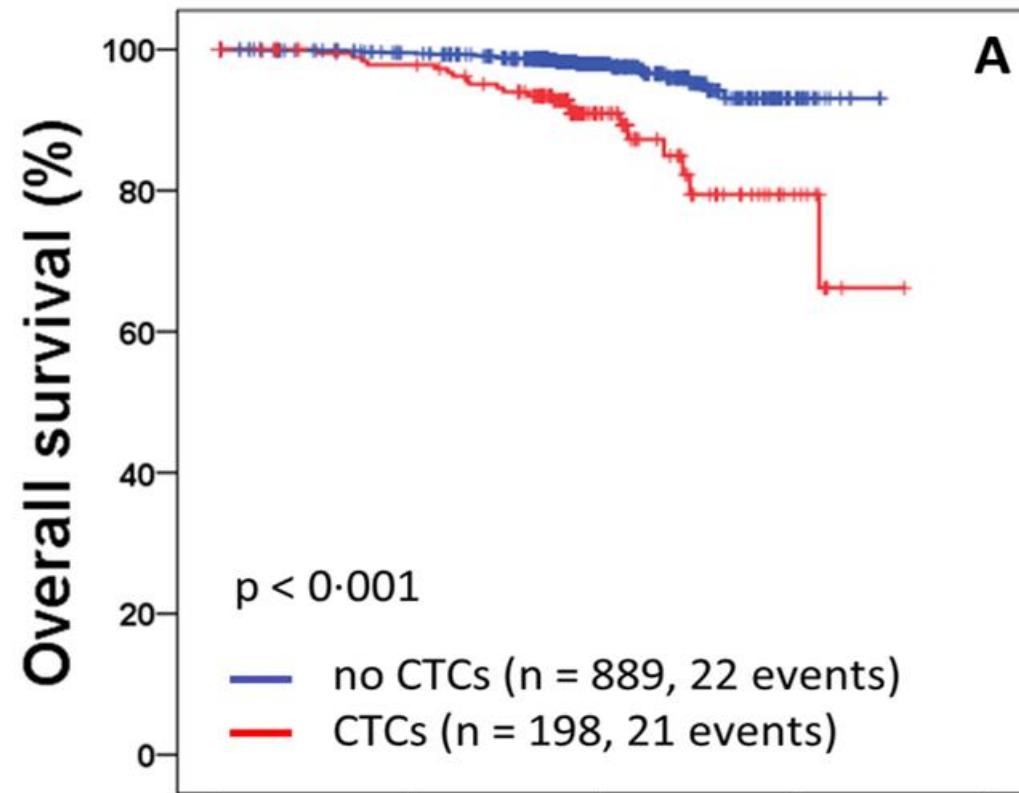
Bone marrow is a reservoir for micrometastatic tumor cells

## DFG SPP microBONE Consortium

(Hofbauer, Pantel et al,  
Nat. Rev. Clin. Oncol.  
2021; Werner, Heidrich,  
Pantel, Sem. Cancer  
Biol. 2022)

**Can we detect MRD in the peripheral blood  
by CTC analyses?**

# CTCs in high-risk early breast cancer patients during follow-up



Need for „Post-Adjuvant“ Clinical Trials

(Pantel & Hayes, *Nature Rev. Clin. Oncol.* 2018)

Figure 2 CTCs detected 2 years after adjuvant chemotherapy

## Research

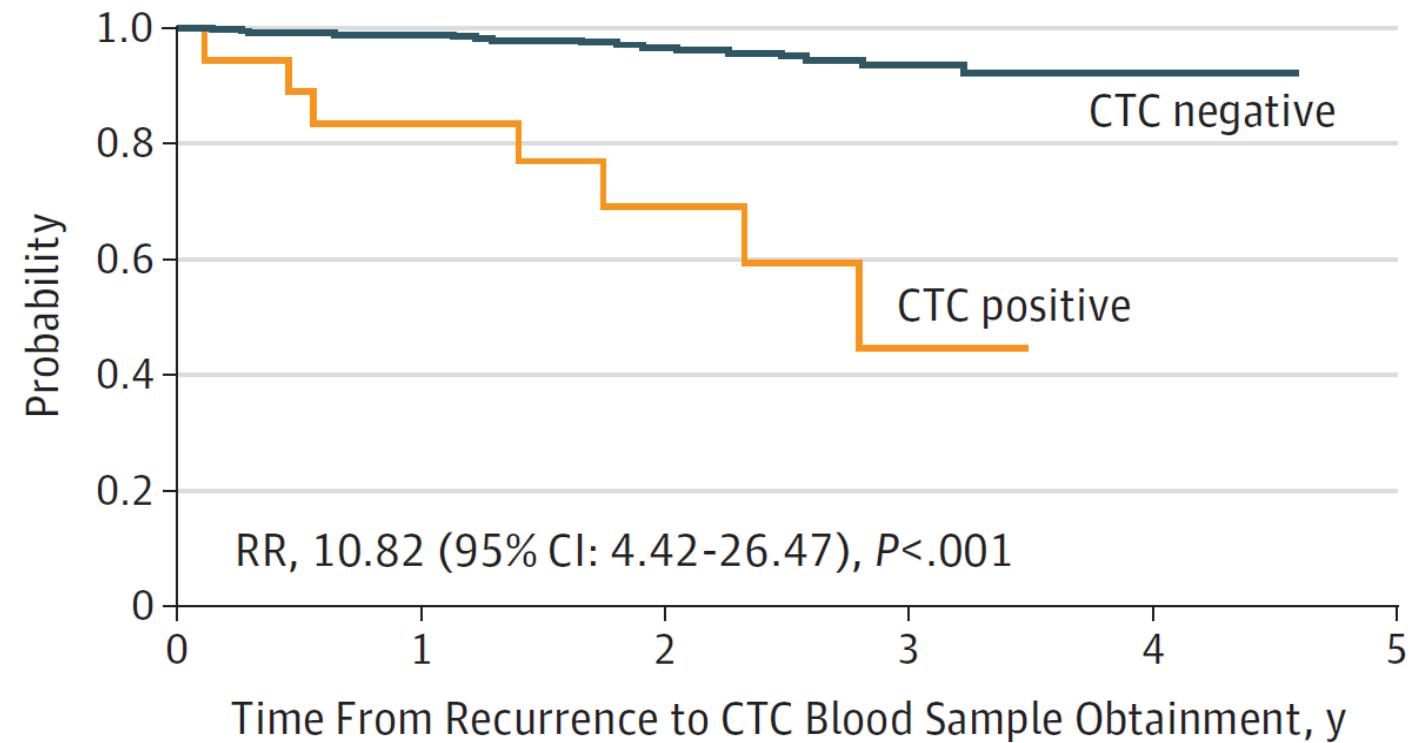
JAMA Oncology | Original Investigation

# Association of Circulating Tumor Cells With Late Recurrence of Estrogen Receptor-Positive Breast Cancer A Secondary Analysis of a Randomized Clinical Trial

Joseph Sparano, MD; Anne O'Neill, MS; Katherine Alpaugh, PhD; Antonio C. Wolff, MD; Donald W. Northfelt, MD;  
Chau T. Dang, MD; George W. Sledge, MD; Kathy D. Miller, MD

Blood was analyzed  
approx. 5 years after  
cancer diagnosis

**Figure 2. Time to Recurrence by Circulating Tumor Cell (CTC) Assay Result Among Patients With Hormone Receptor-Positive Breast Cancer**



No. at risk

CTC negative

335

306

211

102

16

0

CTC positive

18

13

7

3

0

0

## Liquid Biopsy in Metastatic Patients

**Monitoring of CTC & ctDNA counts**

**CTC & ctDNA Characterization**

# CTCs vs. conventional tumor markers (PFS, p values) in metastatic breast cancer patients (n=1944) receiving chemotherapy

Model used as reference	(									
	baseline			3-5 weeks			6-8 weeks			
	CTCBL	CA15-3BL	CEABL	CTC3-5	CA15-3 BL + CA15-3 3-5	CEABL + CEA 3-5	CTC6-8	CA15-3 BL + CA15-3 6-8	CEABL + CEA 6-8	
N patients	1193	914	593	436	357	289	279	215	170	
CP	6 E-10	.10	.04							
CP +CTCBL		.32	.12	5 E -05	.25	.35	9 E-05	.40		Few events
CP +CTCBL + CTC3-5					.26	.41				
CP +CTCBL + CTC6-8							.36			Few events

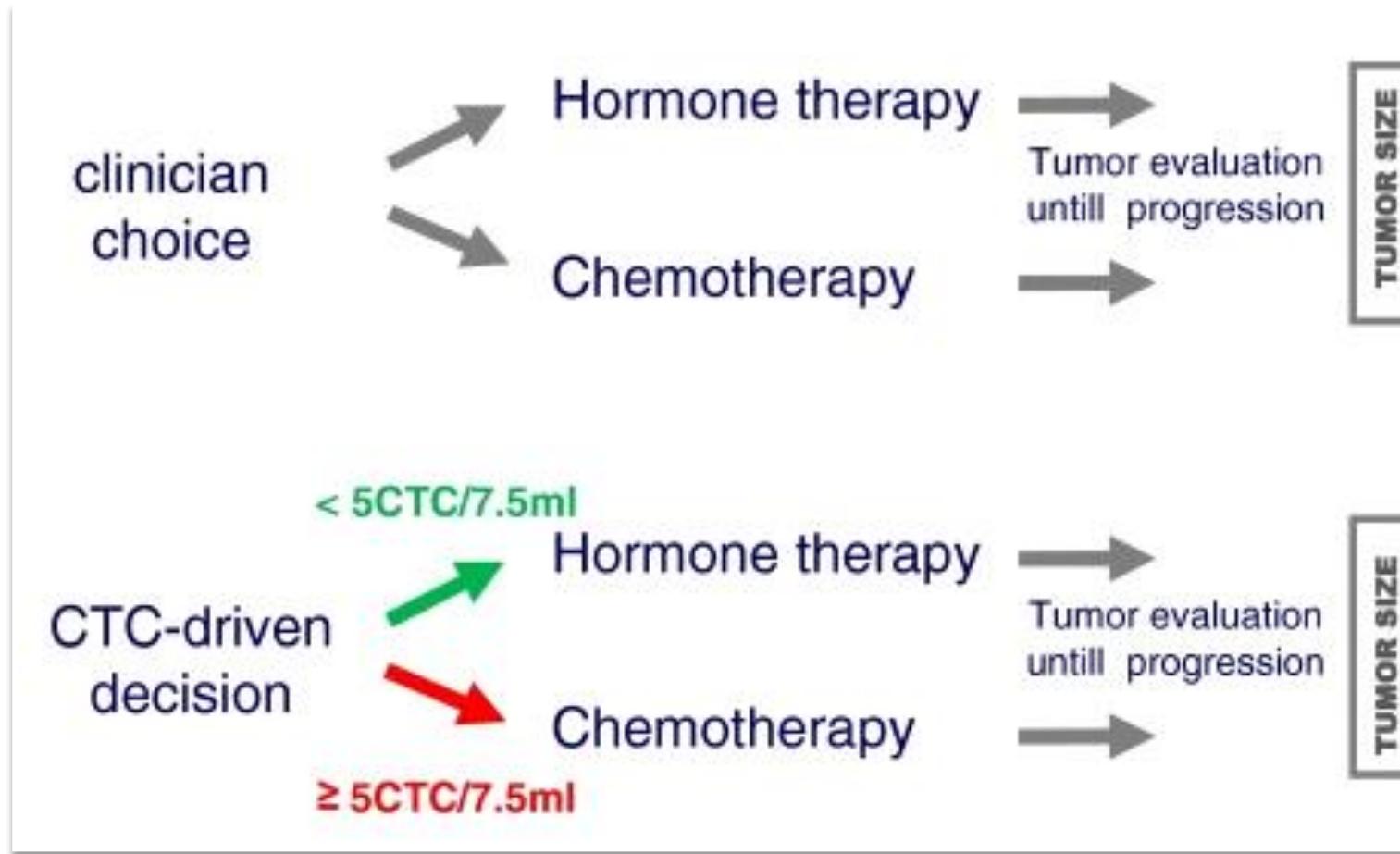
## Clinical Utility of CTCs

JAMA Oncology | Original Investigation

# Efficacy of Circulating Tumor Cell Count-Driven vs Clinician-Driven First-line Therapy Choice in Hormone Receptor-Positive, ERBB2-Negative Metastatic Breast Cancer The STIC CTC Randomized Clinical Trial

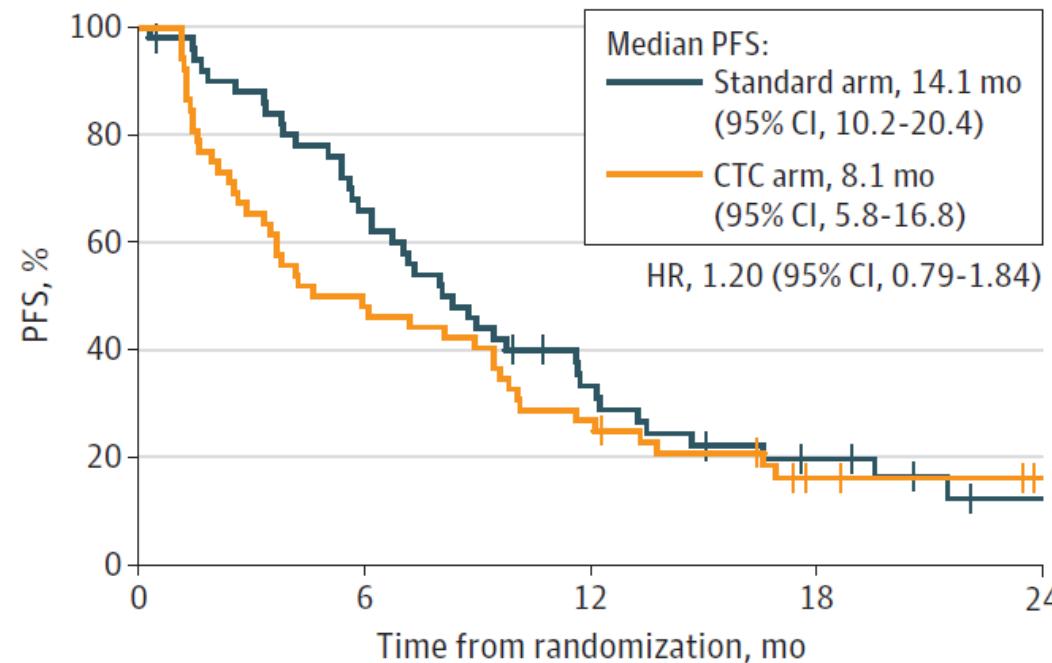
François-Clément Bidard, MD, PhD; William Jacot, MD, PhD; Nicolas Kiavue, MBBS; Sylvain Dureau, PharmD; Amir Kadi, PhD; Etienne Brain, MD, PhD; Thomas Bachelot, MD; Hugues Bourgeois, MD; Anthony Gonçalves, MD, PhD; Sylvain Ladoire, MD, PhD; Hervé Naman, MD; Florence Dalenc, MD, PhD; Joseph Gligorov, MD, PhD; Marc Espié, MD; George Emile, MD; Jean-Marc Ferrero, MD; Delphine Loirat, MD, PhD; Sophie Frank, MD; Luc Cabel, MD; Véronique Diéras, MD; Laure Cayrefourcq, MSc; Cécile Simondi, MSc; Frédérique Berger, MSc; Catherine Alix-Panabières, PhD; Jean-Yves Pierga, MD, PhD

# STIC CTC Metabreast Interventional Study: CTC-driven therapy choice in metastatic breast cancer



# Subgroups with discordant risk estimates (Clin<sup>high</sup> CTC<sup>low</sup> et Clin<sup>low</sup> CTC<sup>high</sup>)

C PFS in the Clin<sup>high</sup> CTC<sup>low</sup> subgroup

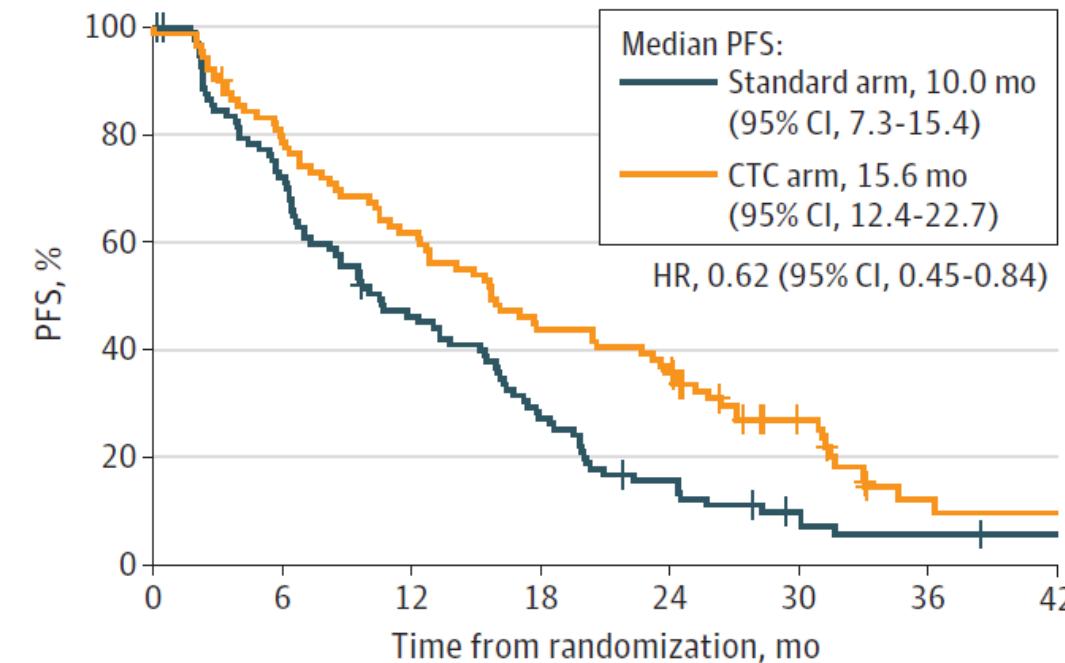


No. at risk (patients censored)								
Standard arm	51(0)	42(1)	30(1)	19(2)	11(3)	8(4)	5(7)	2(8)
CTC arm	52(0)	33(0)	24(0)	15(0)	11(1)	7(2)	4(5)	2(7)

Small subgroup

No statistically different PFS

D PFS in the Clin<sup>low</sup> CTC<sup>high</sup> subgroup



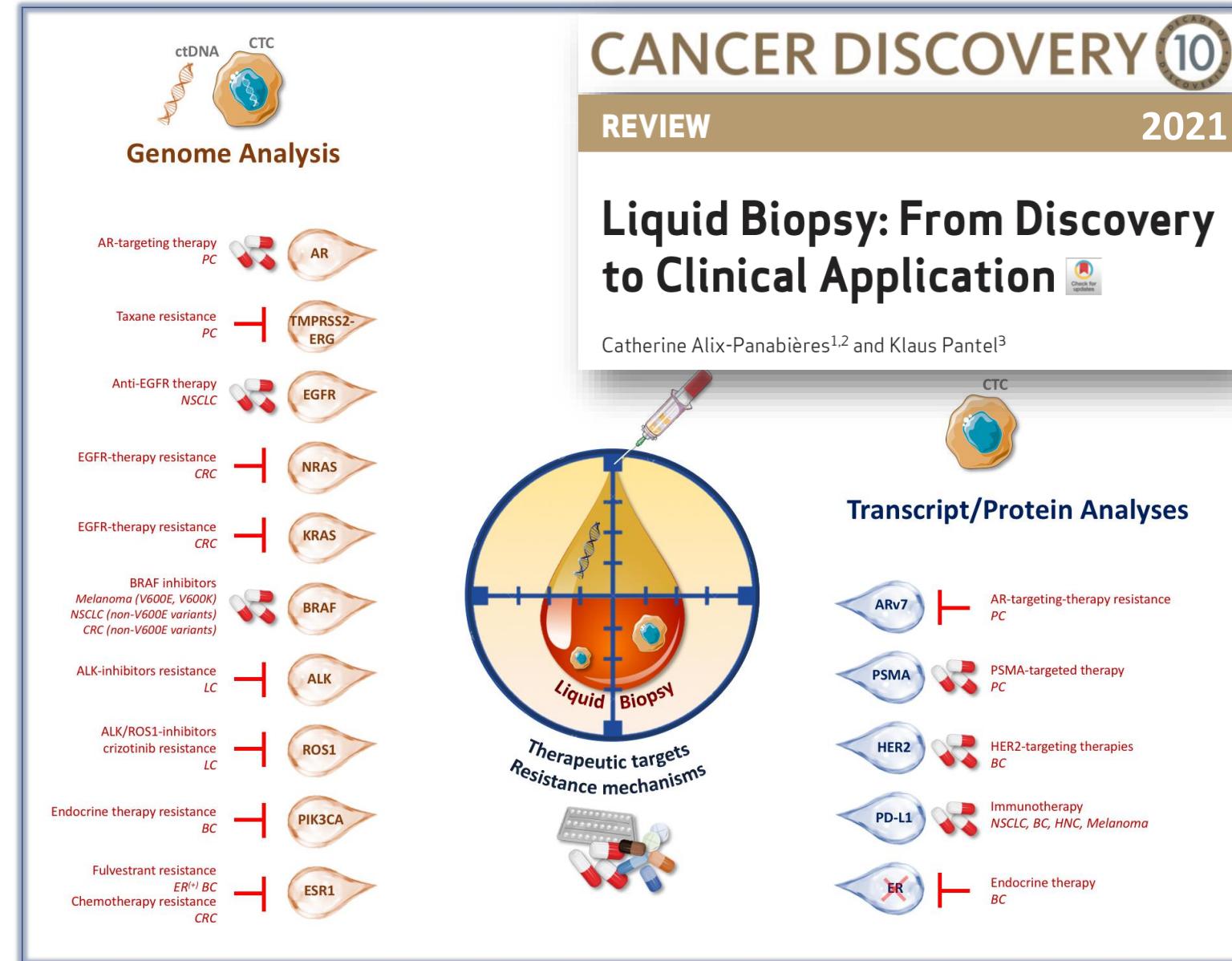
No. at risk (patients censored)								
Standard arm	99(0)	70(2)	44(3)	26(3)	14(4)	7(6)	4(6)	3(7)
CTC arm	90(0)	71(1)	55(1)	39(1)	33(1)	16(10)	5(13)	4(13)

Larger subgroup

Statistically different PFS, favoring the CTC arm  
(=patients treated with chemotherapy)

CTC count should be included in the decision algorithm for HR+ HER2- MBC patients

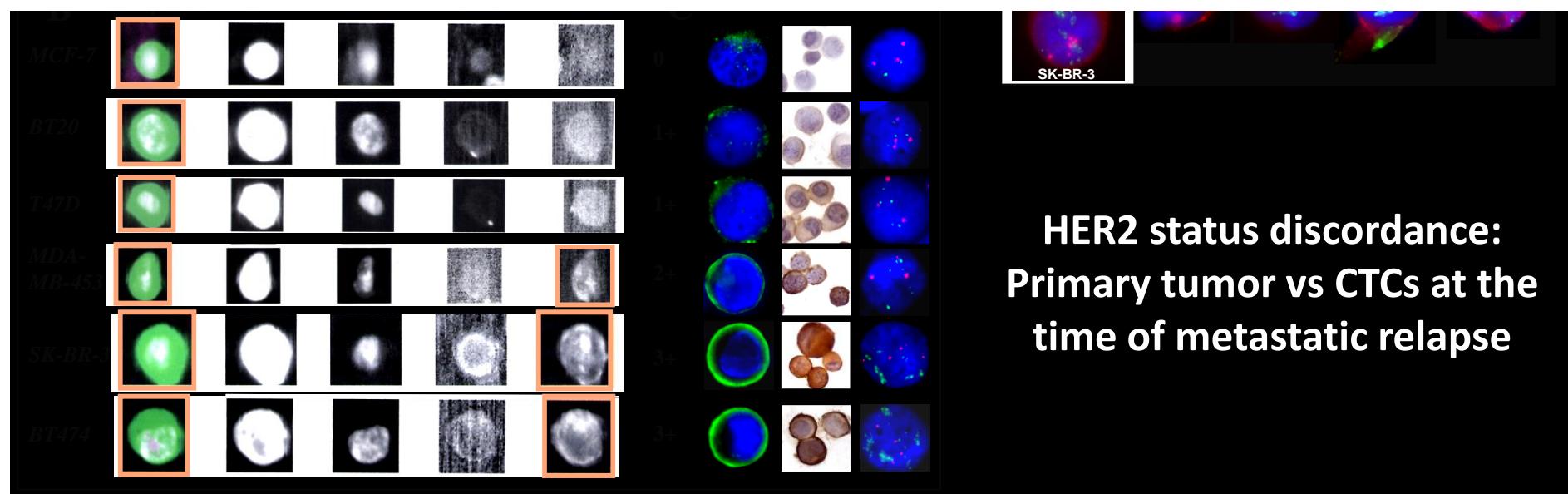
# Therapeutic Targets and Resistance Biomarkers



# Detection of therapeutic targets on CTCs: HER2 oncogene in breast cancer

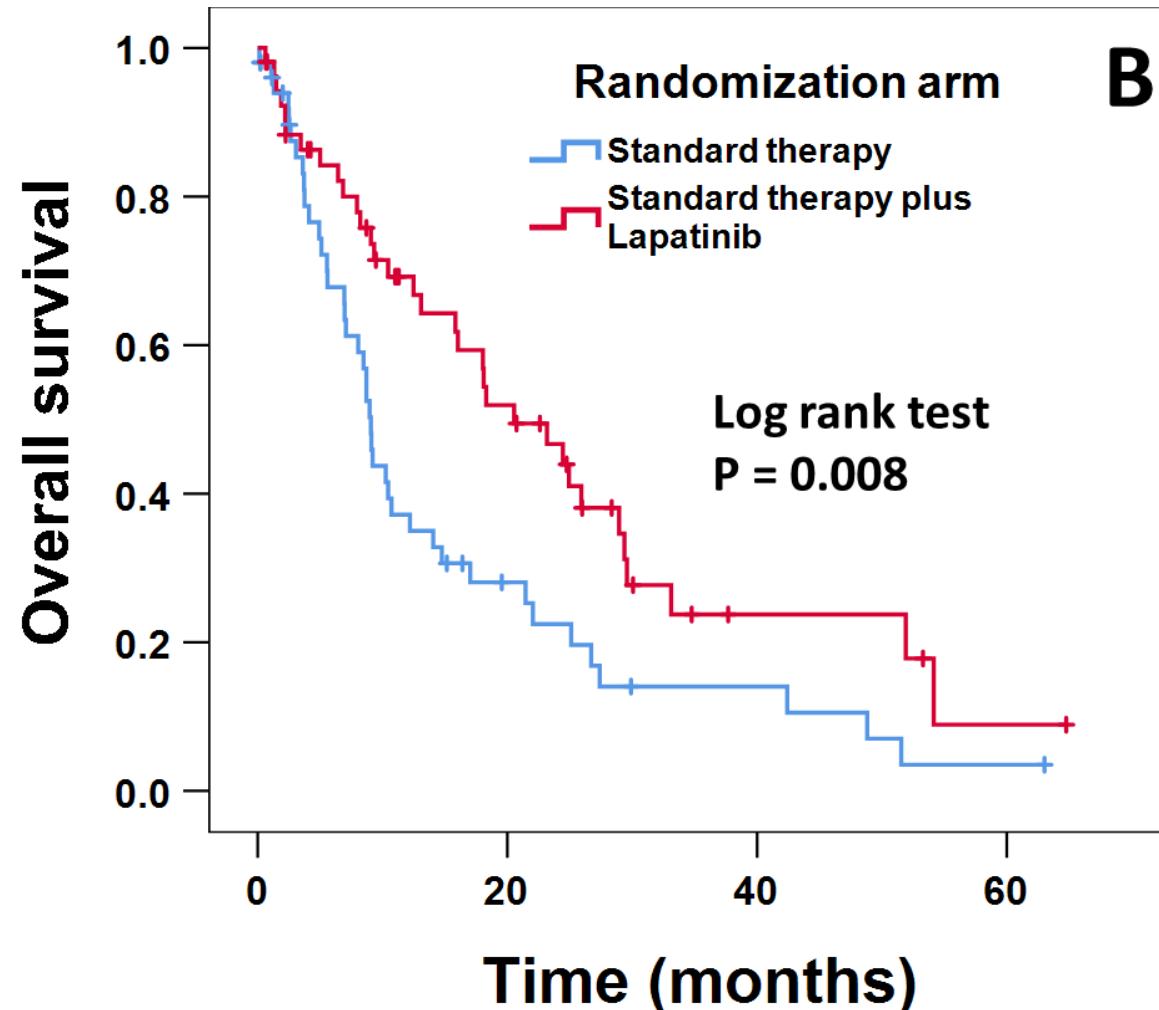


**German DETECT-III study: Anti-HER2 therapy (lapatinib) in metastatic breast cancer patients with HER2-negative primary tumors and HER2-positive CTC**



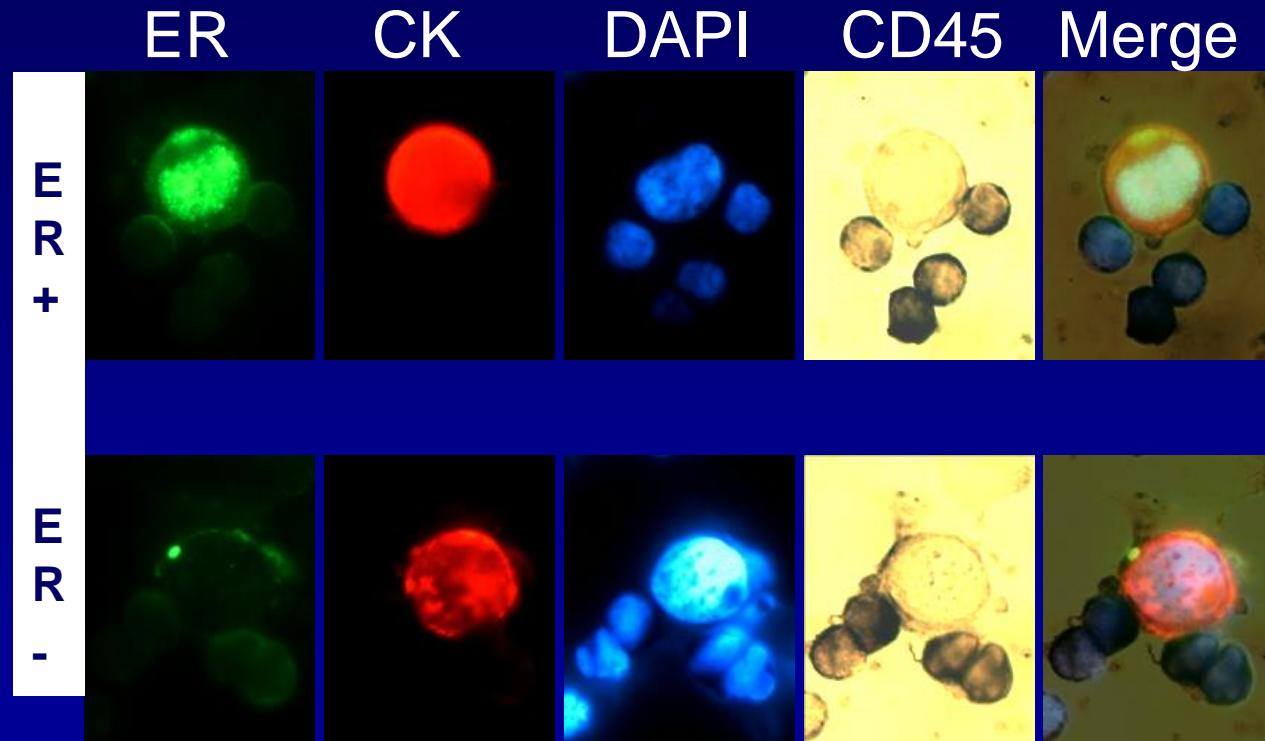
Riethdorf/Pantel et al., *Clinical Cancer Res* 2010 - Fehm/Pantel et al., *Breast Cancer Res Treat* 2010 - Ignatiadis/Sotiriou et al, *PlosONE*, 2011 - Ignatiadis/Pantel et al, *SABCS*, 2011

# Survival of metastatic breast cancer patients with HER2- primary tumors but HER2+ CTCs receiving standard therapy with and without Lapatinib



# Heterogeneity of ER status in CTCs of breast cancer patients with ER-positive primary tumors

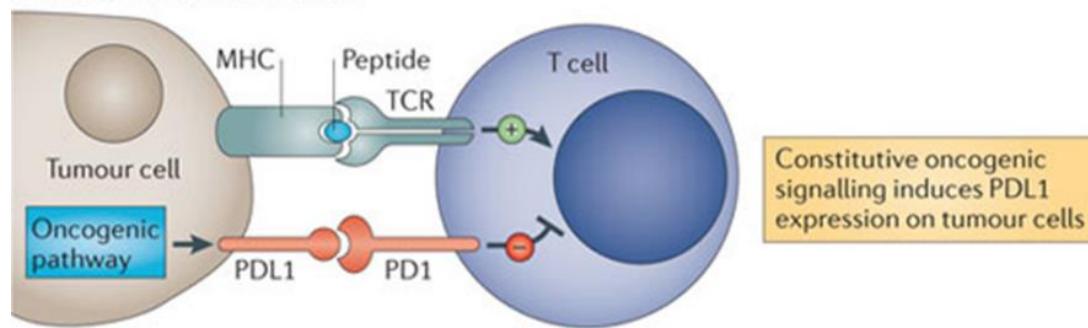
Babayan, Joosse, Pantel et al., PLOS ONE 2013



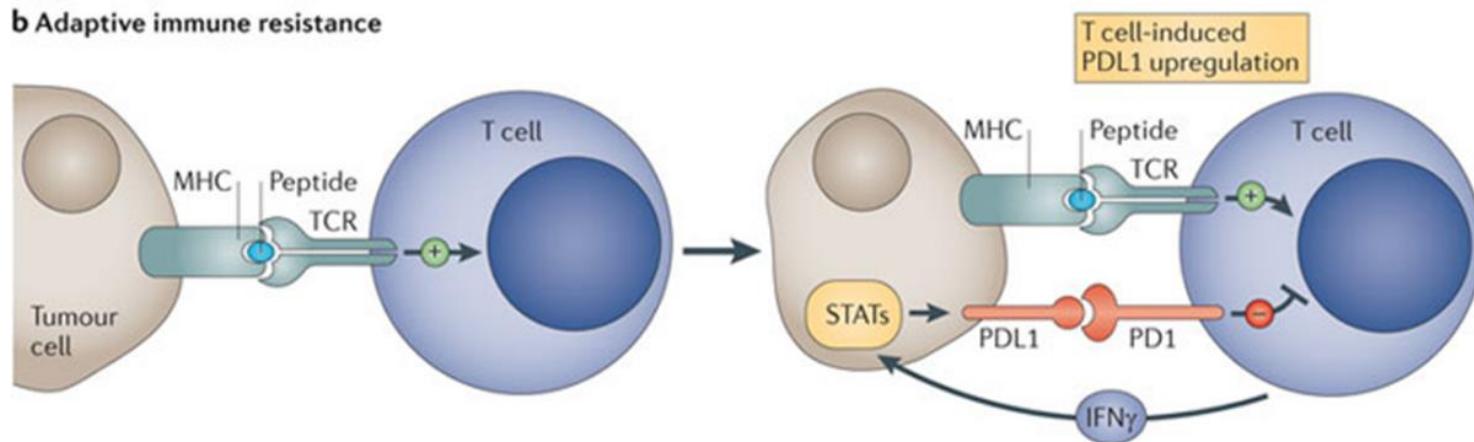
ER-negative CTCs may survive endocrine therapy

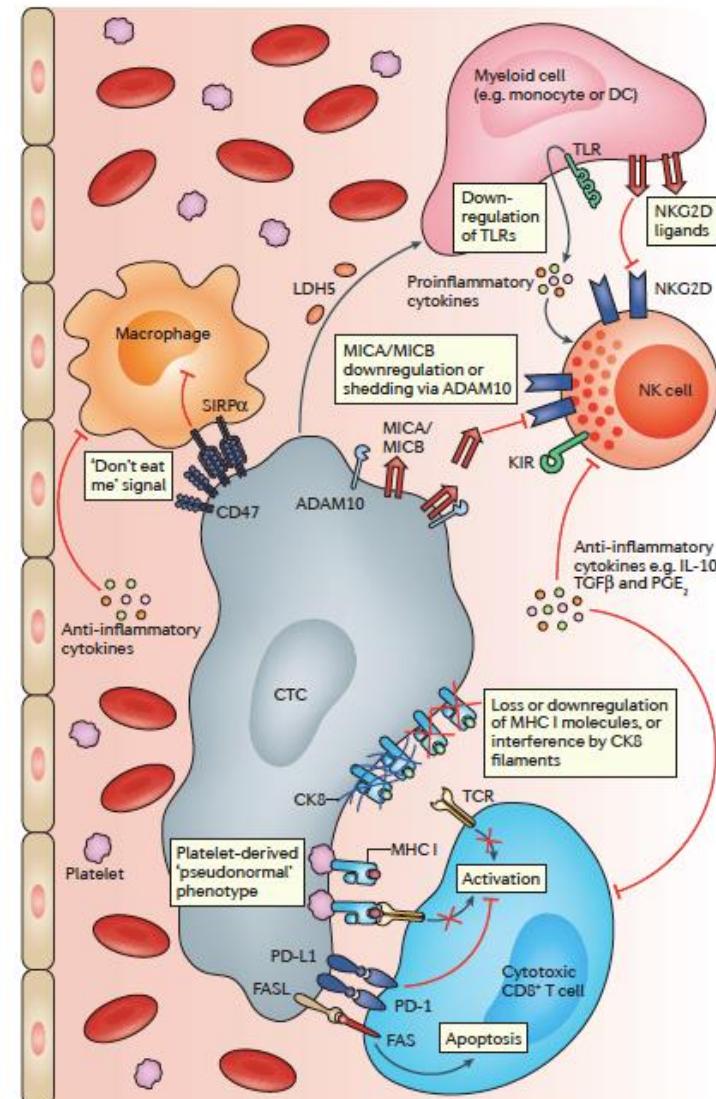
# PD1-PDL1 mediated immune blockade as cancer target

## a Innate immune resistance



## b Adaptive immune resistance





## Circulating and disseminated tumour cells — mechanisms of immune surveillance and escape

Malte Mohme<sup>1,2</sup>, Sabine Riethdorf<sup>1</sup> and Klaus Pantel<sup>1</sup>

nature  
REVIEWS  
CLINICAL  
ONCOLOGY

**Prediction of immune checkpoint inhibition therapy in NSCLC (M. Reck) and Melanoma (Ch. Gebhardt):**

Monitoring of ctDNA & CTC concentrations

Combination of immune markers on CTCs (e.g., MHC class I & PDL1 expression) and tumor mutational burden (TMB) on ctDNA

German representative of the international network on cancer immunotherapy of **Tasuku Honjo**, Kyoto (Nobel Price 2018)

ESMO

REVIEW

Annals of Oncology 30: 1448–1459, 2019  
doi:10.1093/annonc/mdz196  
Published online 22 June 2019

CANCER RESEARCH | REVIEW

Liquid biopsy in the era of immuno-oncology: is it ready for prime-time use for cancer patients?

P. Hofman<sup>1,2,3\*</sup>, S. Heeke<sup>1,2</sup>, C. Alix-Panabières<sup>4,5</sup> & K. Pantel<sup>6</sup>

**Current and Future Clinical Applications of ctDNA in Immuno-Oncology**

Julia-Christina Stadler<sup>1,2,3</sup>, Yassine Belloum<sup>1</sup>, Benjamin Deiter<sup>1</sup>, Mark Semenov<sup>1</sup>, Isabel Heidrich<sup>1,2,3</sup>, Christoffer Gebhardt<sup>2</sup>, Laura Keller<sup>1</sup>, and Klaus Pantel<sup>1</sup>

**PD-L1 expression by circulating breast cancer cells**

Metastatic breast cancer cells express PD-L1, the ligand for the immune checkpoint receptor PD-1, according to new research. The findings suggest that it might be possible to predict which patients will benefit from PD-1 blockade, a promising therapeutic approach in cancer.

The team, led by Catherine Alix-Panabières (University of Montpellier, Montpellier, France), used a tool called CellSearch to detect circulating tumour cells (CTCs) in blood samples from hormone-receptor-positive, HER2-negative patients with metastatic breast cancer. They assessed samples for expression of PD-L1 with an antibody validated using western blotting and flow cytometry.

The analysis showed that PD-L1 expression is common among CTCs derived from breast tumours. Although the sample size was small (one male patient and 15 female

patients), 11 of 16 samples had a subpopulation of cells expressing PD-L1, either at low or high levels. This high frequency of PD-L1 expression contrasts with previous work suggesting that breast cancer cells have low PD-L1 expression.

According to Alix-Panabières, this discrepancy could be the result of higher PD-L1 expression by CTCs than by primary tumours, and potential differences in methods.

The team now wants to investigate whether their technique could be used as a "liquid biopsy" to identify patients who will benefit from treatment with PD-1 inhibitors—molecules that bind PD-1 and interfere with the checkpoint that enables cancer cells to evade the immune system. This technique could help to avoid exposing patients to any toxicities associated with PD-1 inhibitor treatment.

Rachel David

## Study Detects PD-L1 Expression on Breast Cancer Patient CTCs, Points to Potential New CellSearch Use

Jul 15, 2015

[Turna Ray](#)

Premium

NEW YORK (GenomeWeb) – Using a platform for assessing circulating tumor cells (CTCs), researchers have demonstrated the ability to gauge PD-L1 expression from liquid biopsies of metastatic breast cancer patients.



# Frequent expression of PD-L1 on circulating breast cancer cells

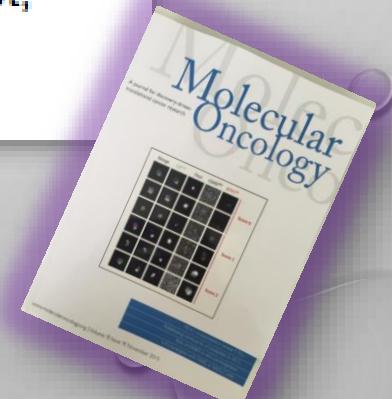
2015

Martine Mazel, William Jacot, Klaus Pantel, Kai Bartkowiak, Delphine Topart,

Laure Cayrefourcq, Delphine Rossille, Thierry Maudelonde, Thierry Fest,

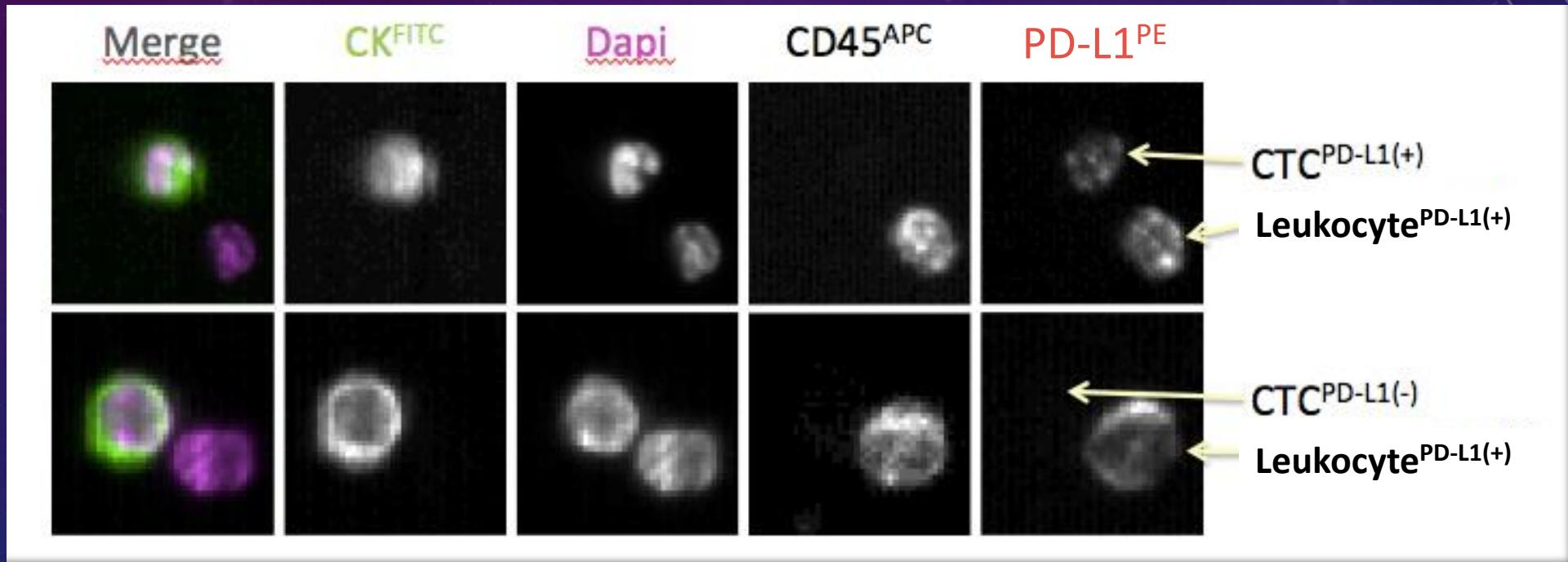
Catherine Alix-Panabières

Molecular Oncology





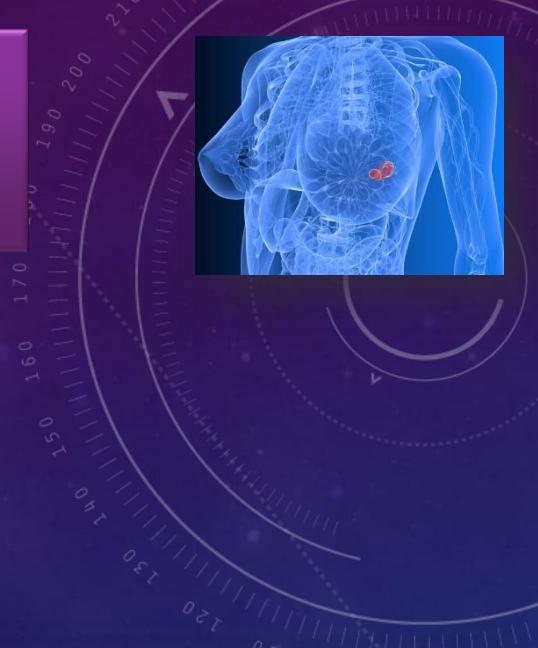
## METASTATIC BREAST CANCER PATIENTES



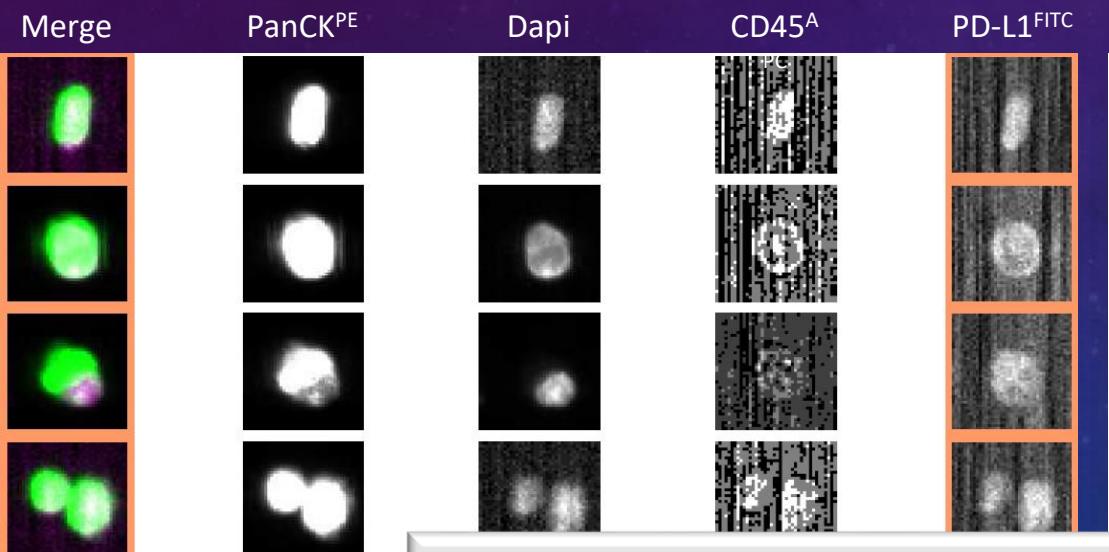
Mazel et al., Mol Oncol 2015  
(Editorial by R. David in Lancet Oncol. 2015)

PD-L1 is frequently expressed on CTCs (> 60% of patients) in metastatic breast cancer patients

# CTC DETECTION & PD-L1 EXPRESSION METASTATIC BREAST CANCER



- 72 MBC patients
- Median Age 65 yrs old (range 35-87)
- Subtypes of breast cancer: **ER<sup>(+)</sup>/HER2<sup>(-)</sup>** 69.4%; **HER2<sup>(+)</sup>** 18.1%, **TN** 12.5%



Clinical Chemistry 0:0  
1093-1101 (2020)

Clinical  
Chemistry  
**2020**

Cancer Diagnostics

## Clinical Correlations of Programmed Cell Death Ligand 1 Status in Liquid and Standard Biopsies in Breast Cancer

William Jacot, Martine Mazel, Caroline Mollevi, Stéphane Pouderoux, Véronique D'Hondt,  
Laure Cayrefourcq, Céline Bourgier, Florence Boissiere-Michot, Fella Berrabah, Evelyne Lopez-Crapez,  
François-Clément Bidard, Marie Viala, Thierry Maudelonde, Séverine Guiu, and

### Conclusion

- PD-L1 expression : tumor vs CTCs → no correlation ( $p=0.589$ )
- Presence of CTC<sup>PD-L1(+)</sup> : independent biomarker for shorter PFS



# CTC DETECTION & PD-L1 EXPRESSION METASTATIC NON-SMALL LUNG CANCER



- 54 MNSCLC patients
- Mean Age 64.5 yrs old
- 57.4% were men and 86% were smokers

Clinical Chemistry 00:0  
1-10 (2021)

Clinical  
Chemistry  
2021

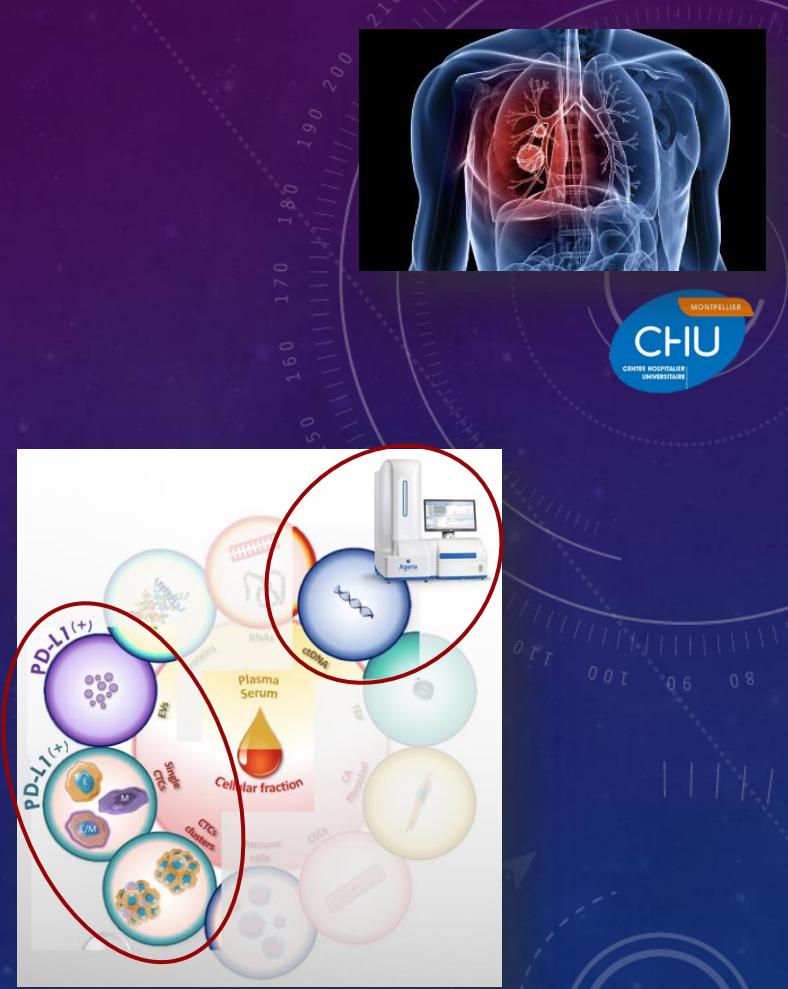
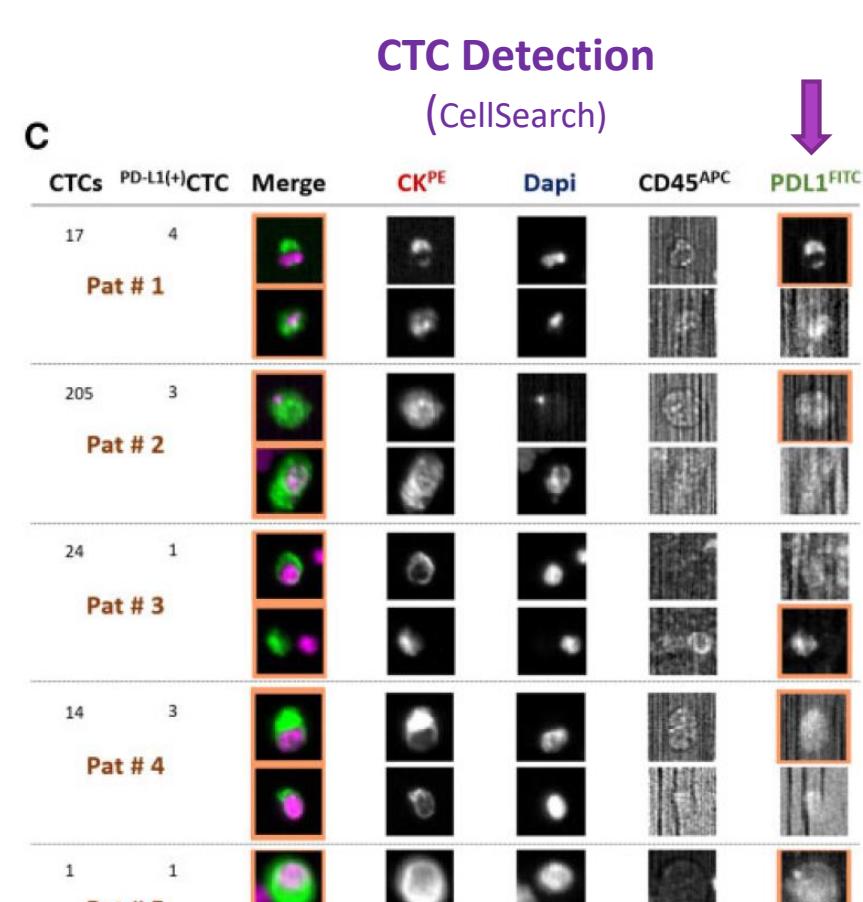
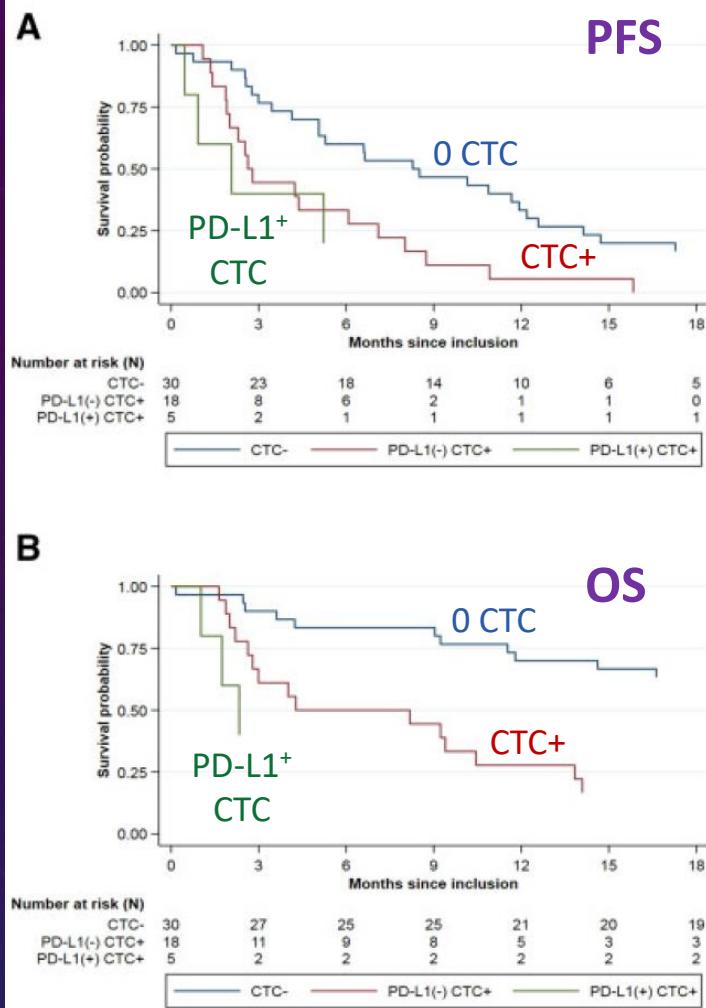
Cancer Diagnostics

## Programmed Cell Death Ligand 1-Expressing Circulating Tumor Cells: A New Prognostic Biomarker in Non-Small Cell Lung Cancer

Léa Sinoquet,<sup>a</sup> William Jacot,<sup>a,b</sup> Ludovic Gauthier,<sup>c</sup> Stéphane Pouderoux,<sup>a</sup> Marie Viala,<sup>a</sup> Laure Cayrefourcq,<sup>d,e</sup>  
Xavier Quantin,<sup>a,b</sup> and Catherine Alix-Panabières<sup>d,e,\*</sup>

- CTCs detected in 23/53 patients (43.4%)
- CTC<sup>PD-L1(+)</sup> observed in 5 patients (9.4%).



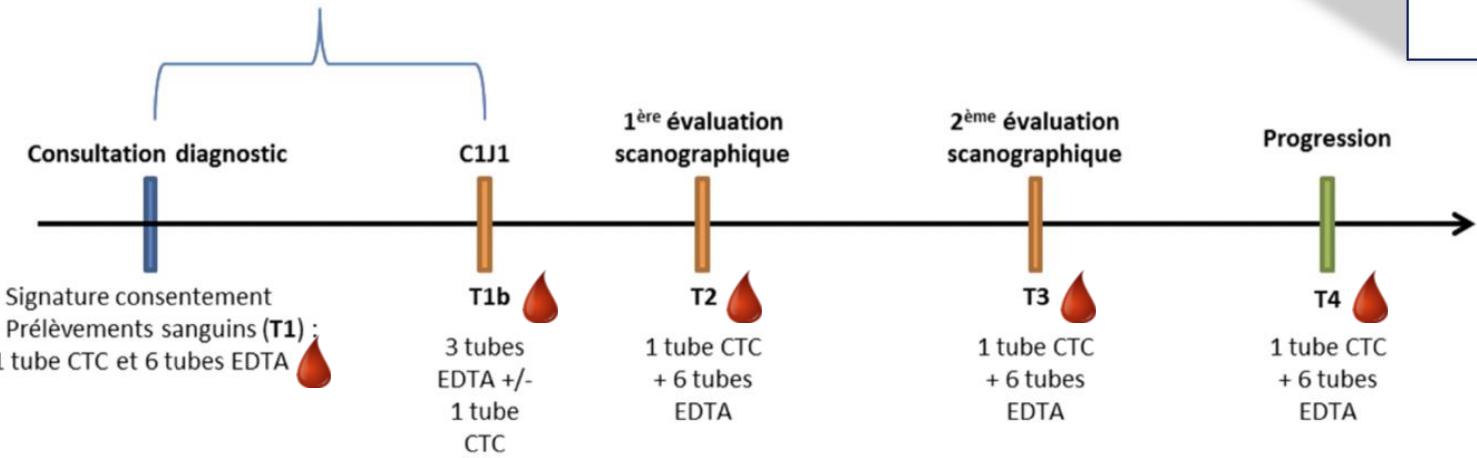


## Conclusion

- ❑ PD-L1 expression: Low concordance between tissue & liquid biopsies (53.7%)
- ❑ PFS & OS are worse in patients **with CTCs**; worse **with PD-L1+ CTCs**
- ❑ PD-L1 expression on tumor tissue was not associated with PFS and OS.

# ALCINA2 Clinical trial overview

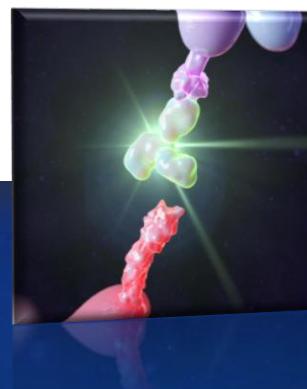
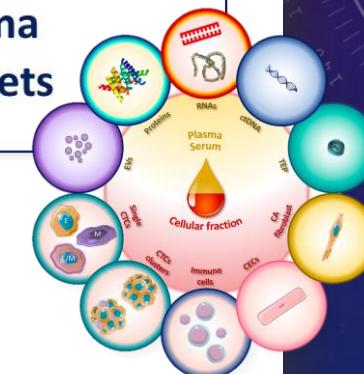
CTC number > 50 in SLCL  
CTC number > 5 in NSCLC



Cohort n= 60 NSCLC + 50 healthy samples  
Lung cancer patients in context of Immunotherapy

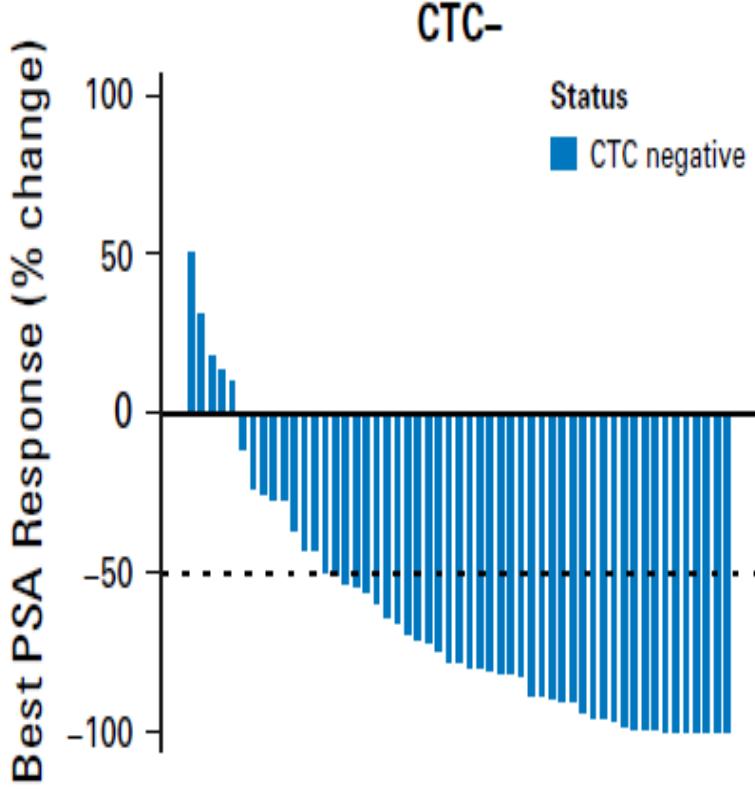
## Biobanking

CTCs  
Plasma  
Platelets

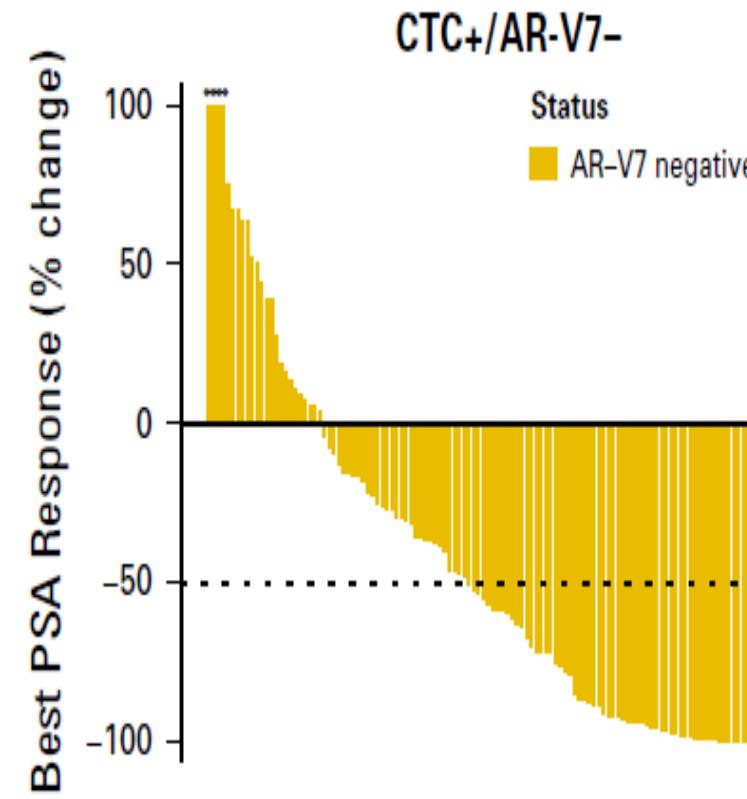


# Androgen Receptor Variant 7 (AR-V7) Expression in CTCs: Predictive marker for Abiraterone or Enzalutamide Therapy in Prostate Cancer

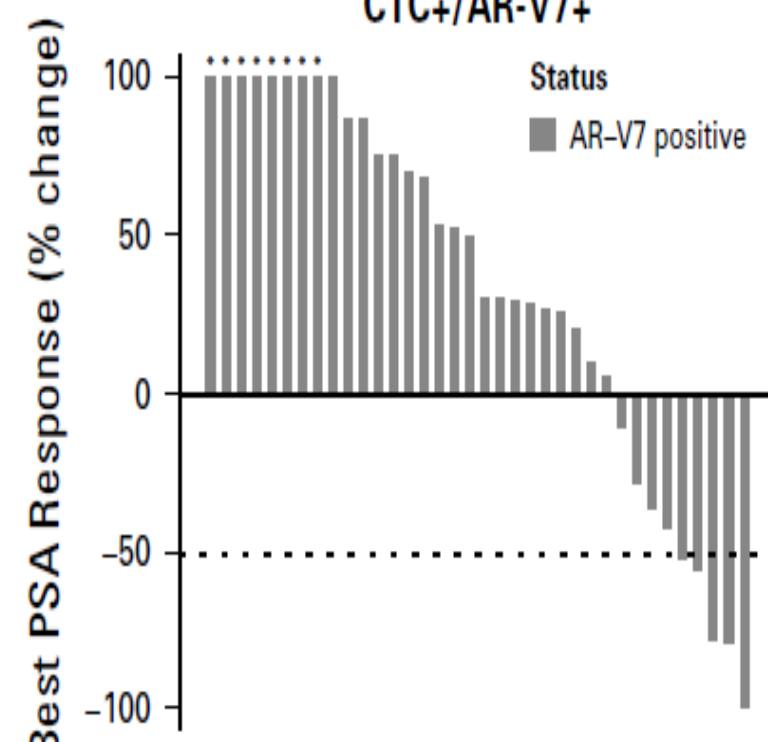
## Good Response



## Moderate Response



## Poor Response



**Christoffer Gebhardt**  
**Stephan Schneider**  
**Isabel Heidrich\***  
**Julian Kött\***  
**Glenn Geidel\***  
**Julia Stadler\***

**Klaus Pantel**  
**Laura Keller\***

UKE  
Onco-  
Dermatology  
department

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Tumor  
Biology

Melanoma Liquid  
Biopsy Research  
UKE collaboration

Buxtehude  
Onco-  
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department

UKE  
Dermatology  
Team  
research

**Peter Mohr**  
**Rüdiger Greinert**  
**Beate Volkmer**

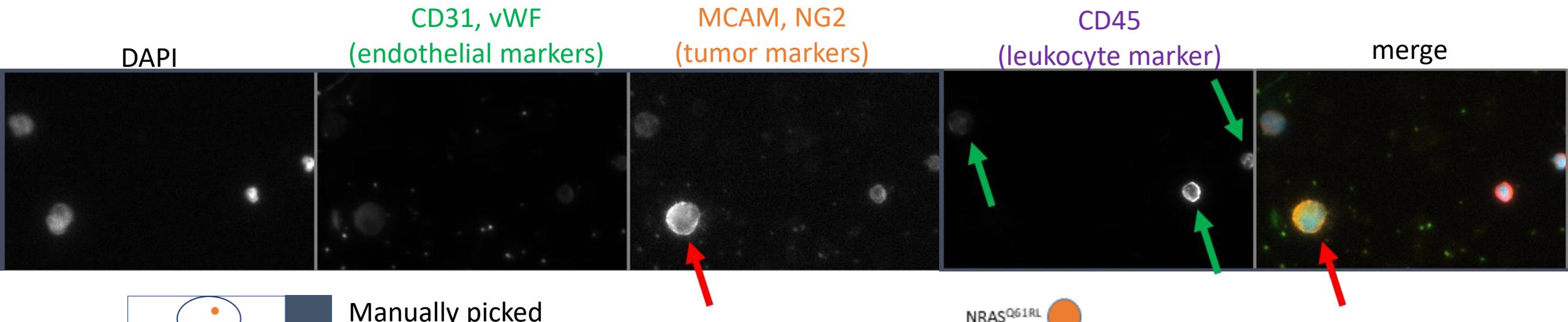
**Christian Gorzelanny**  
**Alexander Bauer**

\*Young researchers supported by DKH Mildred-Scheel Nachwuchszentrum, UCCH-Stipendium, Hiege-Stiftung

# Intra-Patient Heterogeneity of Circulating Tumor Cells and Circulating Tumor DNA in Blood of Melanoma Patients

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Lina Hildebrandt <sup>4</sup>, Laura Keller <sup>2</sup>, Beate Volkmer <sup>5</sup>, Sven Peine <sup>6</sup>, Anna Babayan <sup>2</sup>,  
Ingrid Moll <sup>7</sup>, Stefan W. Schneider <sup>4</sup>, Sören Twarock <sup>1</sup>, Peter Mohr <sup>5</sup>, Jens W. Fischer <sup>1</sup> and  
Klaus Pantel <sup>2,\*</sup>

## Molecular characterization of CTC



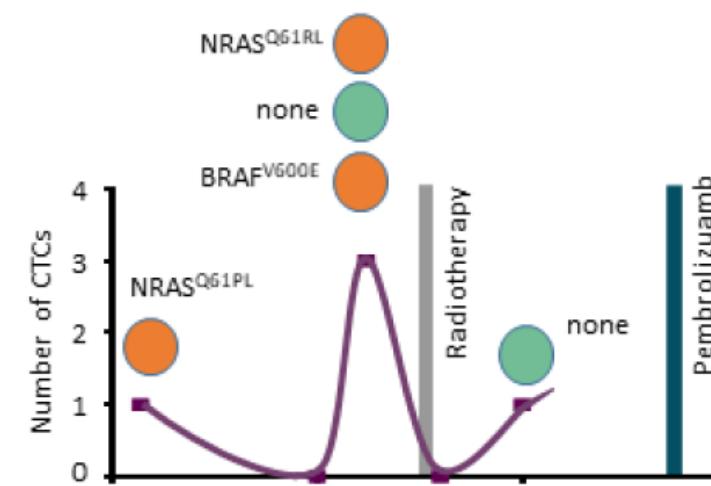
Manually picked



Whole Genome Amplification



Mutation detection

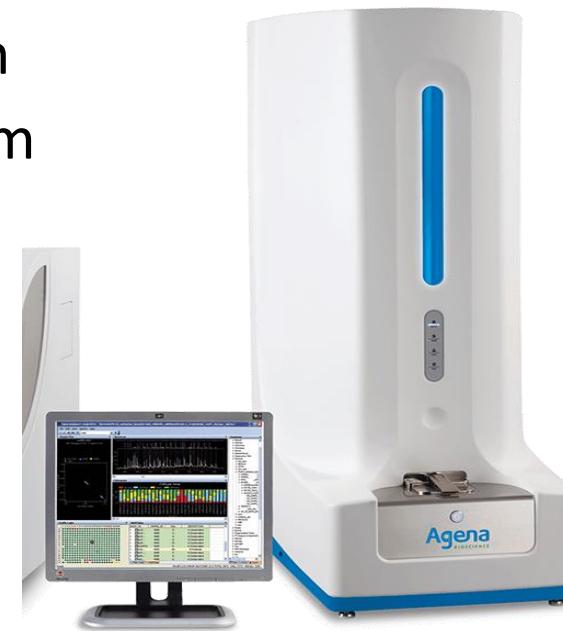


# ctDNA Mutation Detection in Melanoma

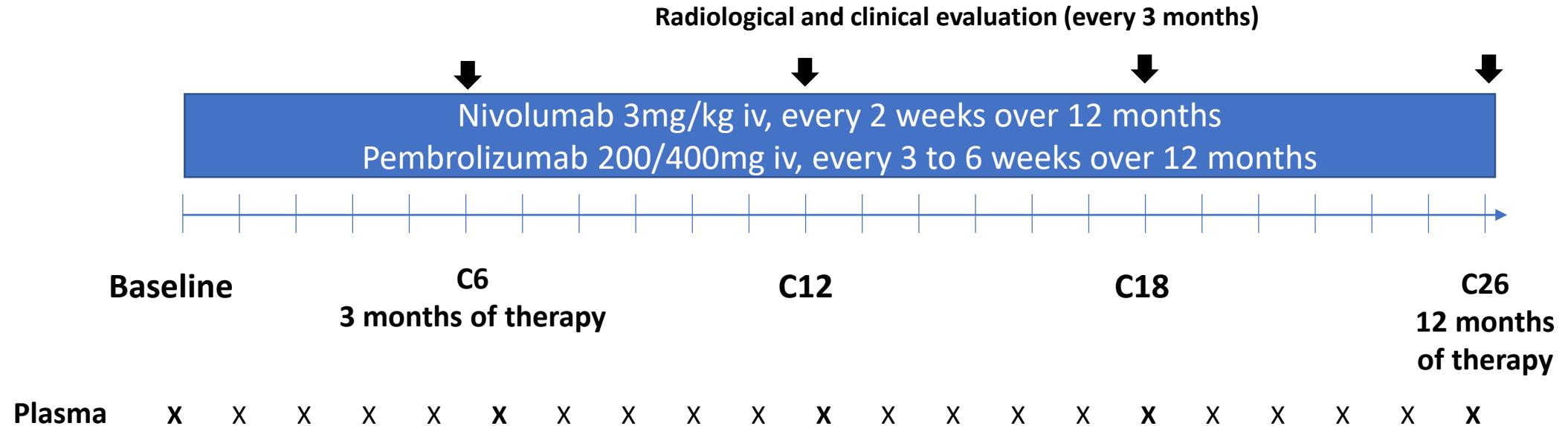
Gene	# mutations
BRAF	12
CDKN2A	1
CTNNB1	5
DPH3	2
IDH1	2
KIT	7
MAP2K1	8
NRAS	20
RAC1	1
RPS27	1
RQCD1	1
SDHD	3
YAE1D1	2
<b>13 Genes</b>	<b>65</b>

## UltraSEEK Melanoma Panel v1.0

- Single multiplexed PCR reaction
- ≥0.1% mutation frequency detection
- MassARRAY™ System



# Monitoring MRD in tumor-resected melanoma patients (stage III) undergoing immune checkpoint inhibition therapy

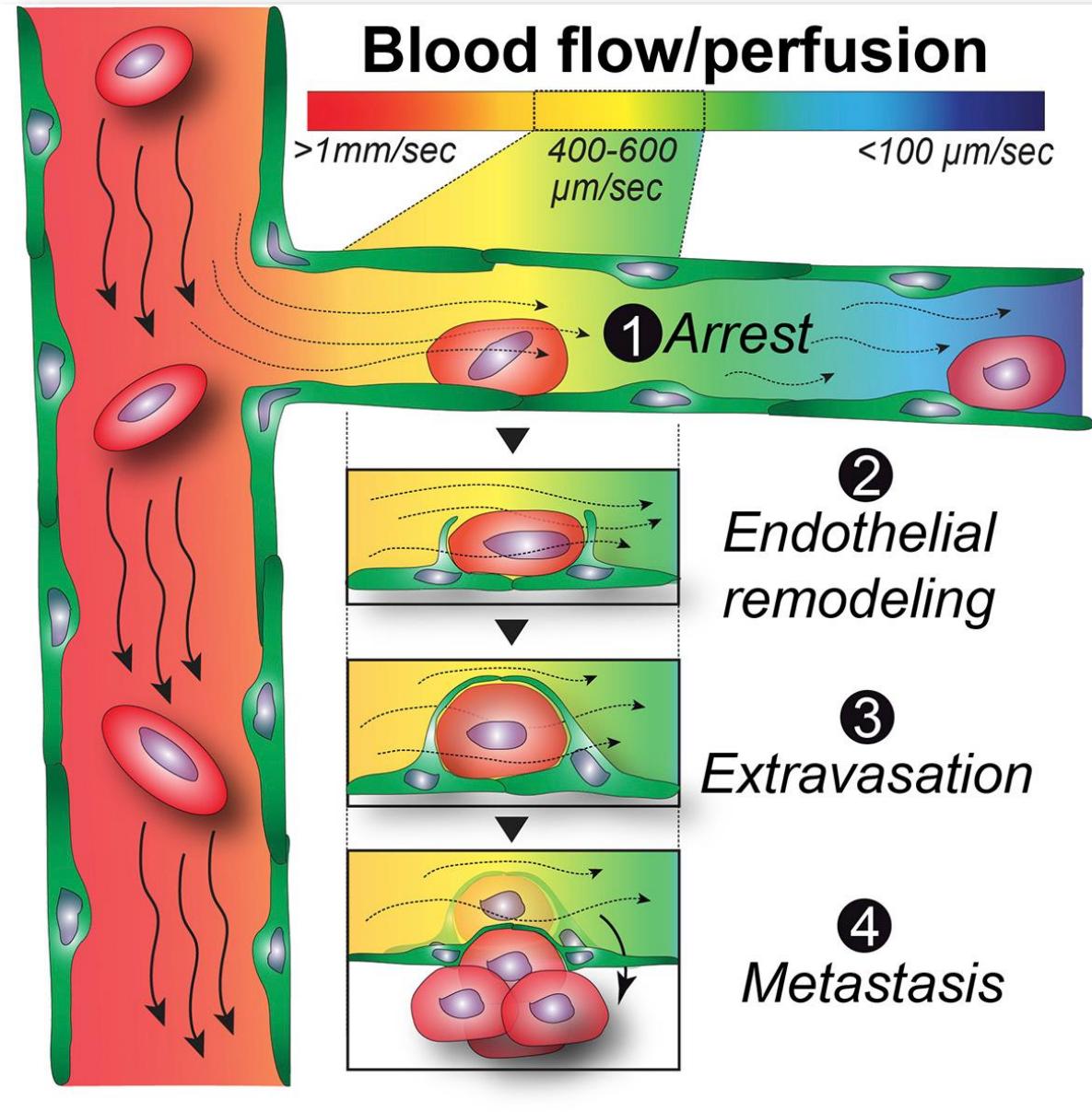


Combined liquid biopsy analysis (CTCs, ctDNA, EVs, proteins) to evaluate minimal residual disease

## Tissue origin of CTCs

**– Brain Metastases –**

# Hemodynamic Forces Tune the Arrest, Adhesion, and Extravasation of CTCs



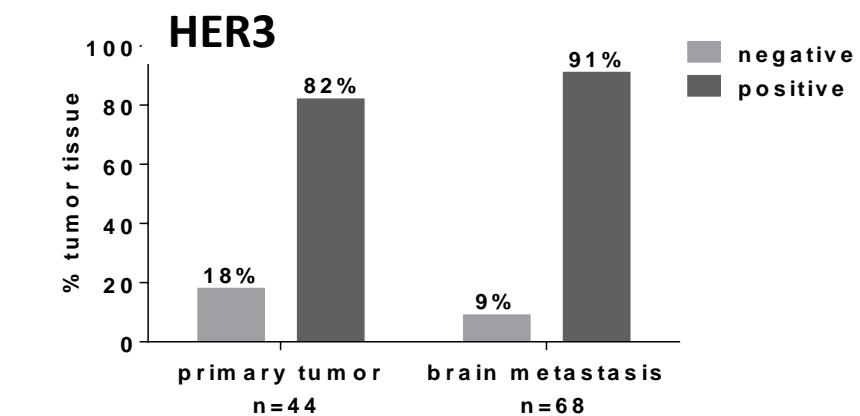
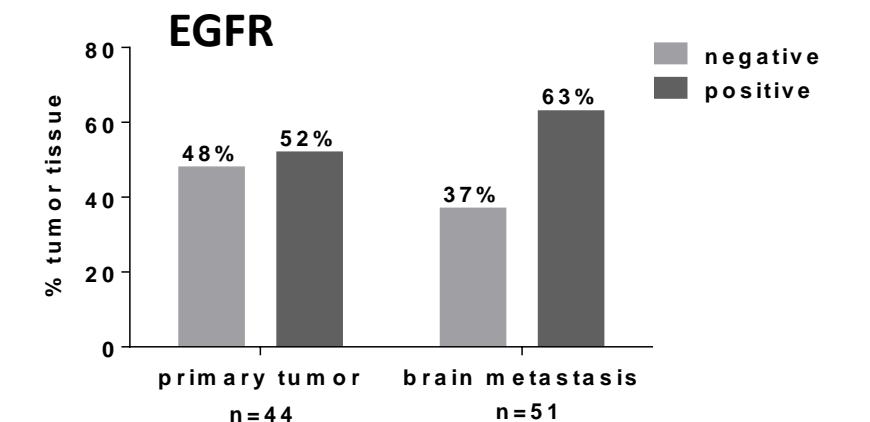
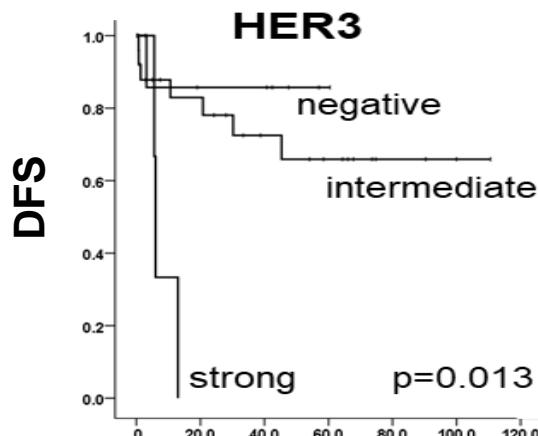
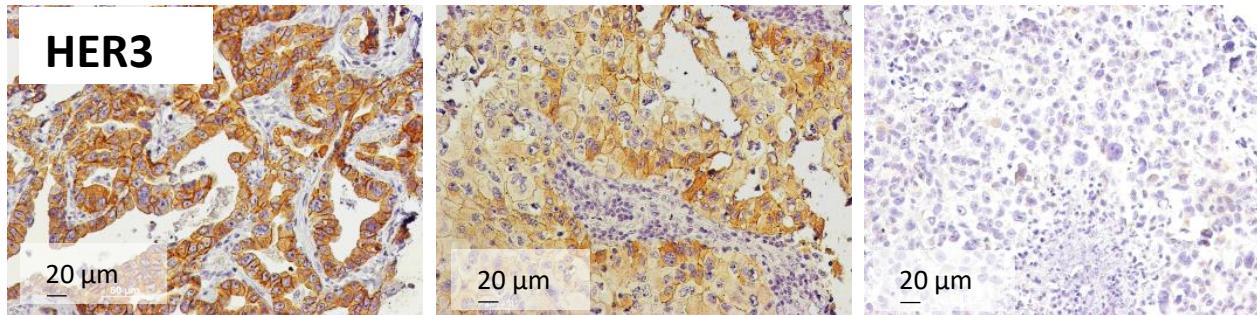
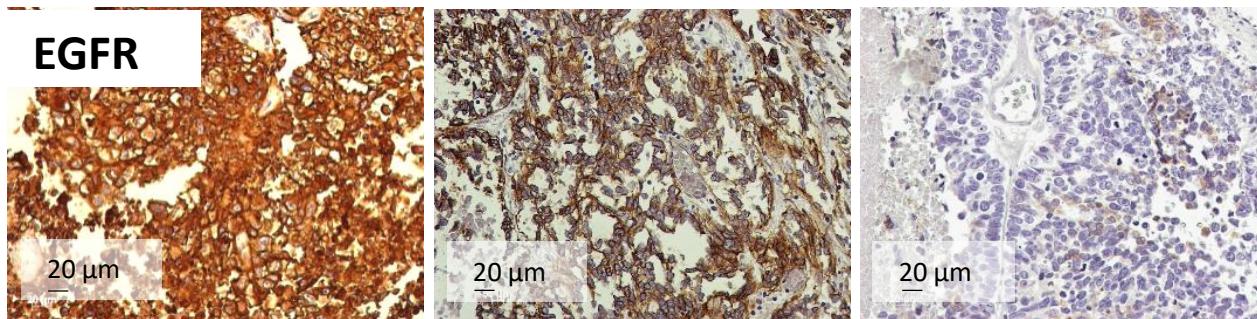
Permissive flow forces allow stable intravascular arrest of CTCs.

Flow forces drive endothelial remodeling around arrested tumor cells, favoring extravasation preceding metastatic outgrowth.

**Clinical relevance for brain metastasis**

# **How to improve sensitivity of CTC detection in patients with brain metastases (BM)?**

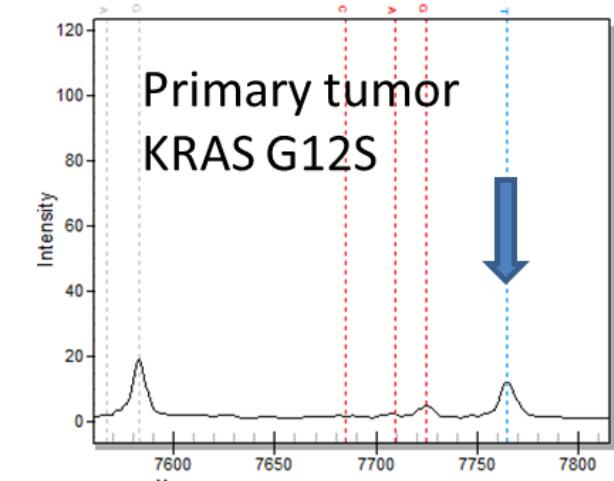
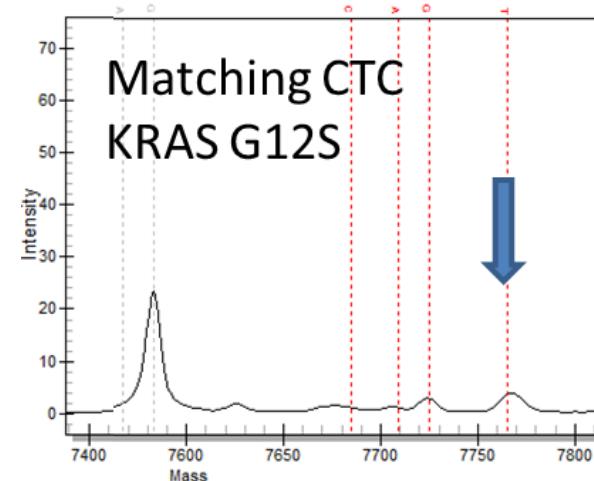
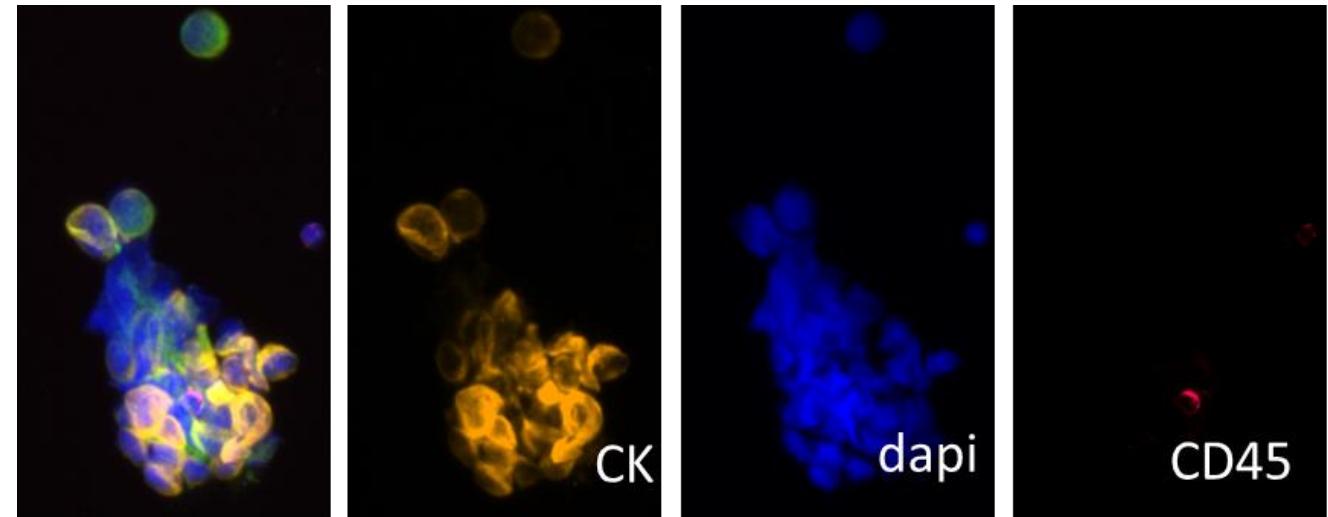
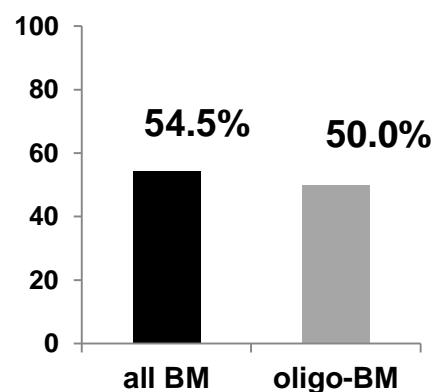
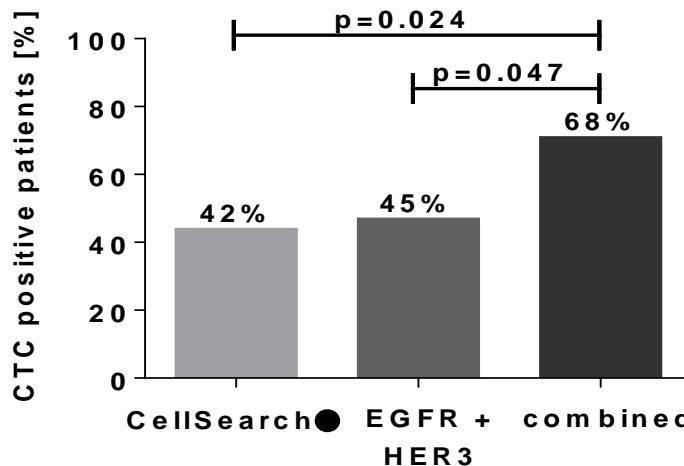
# HER3/ EGFR based CTC isolation



⇒ **CTC isolation based on EGFR and HER3 expression**

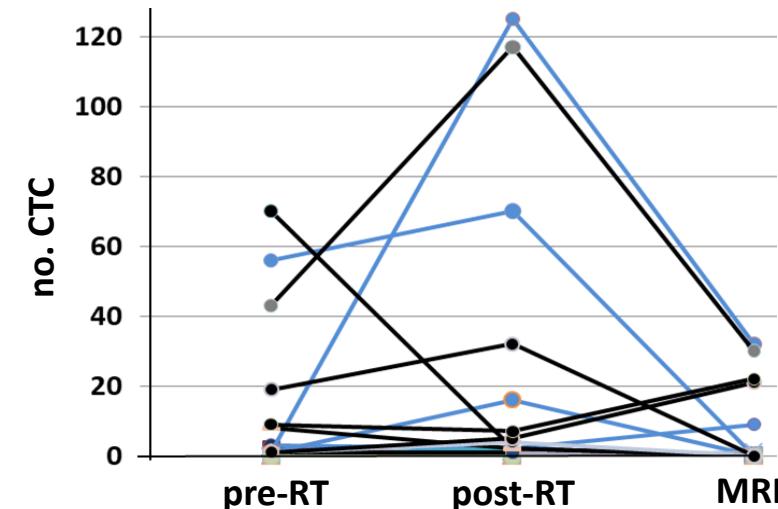
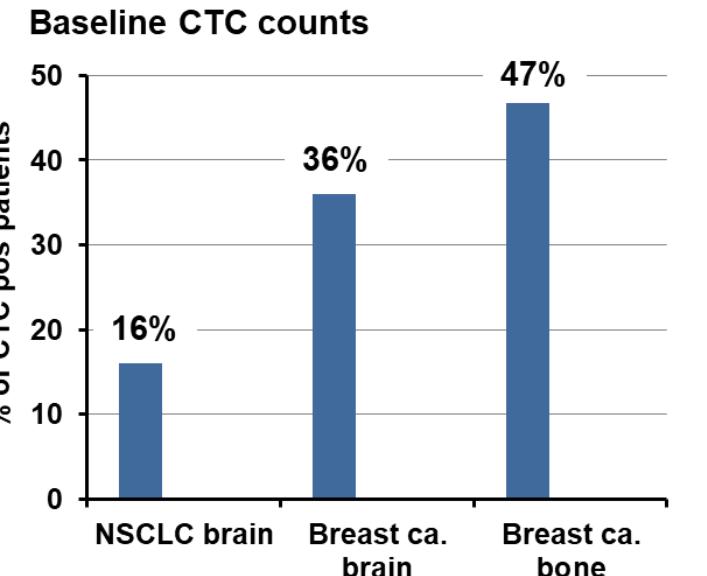
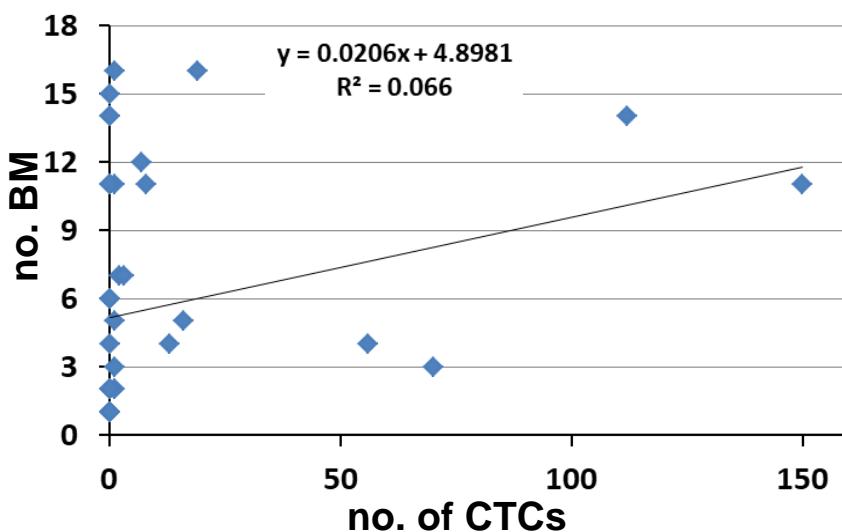
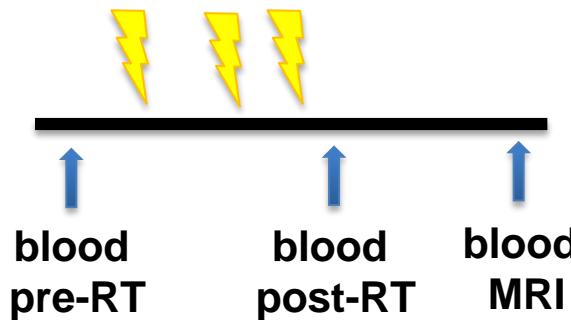
# HER3/ EGFR based CTC isolation

n= 50 mNSCLC, concordance 17%

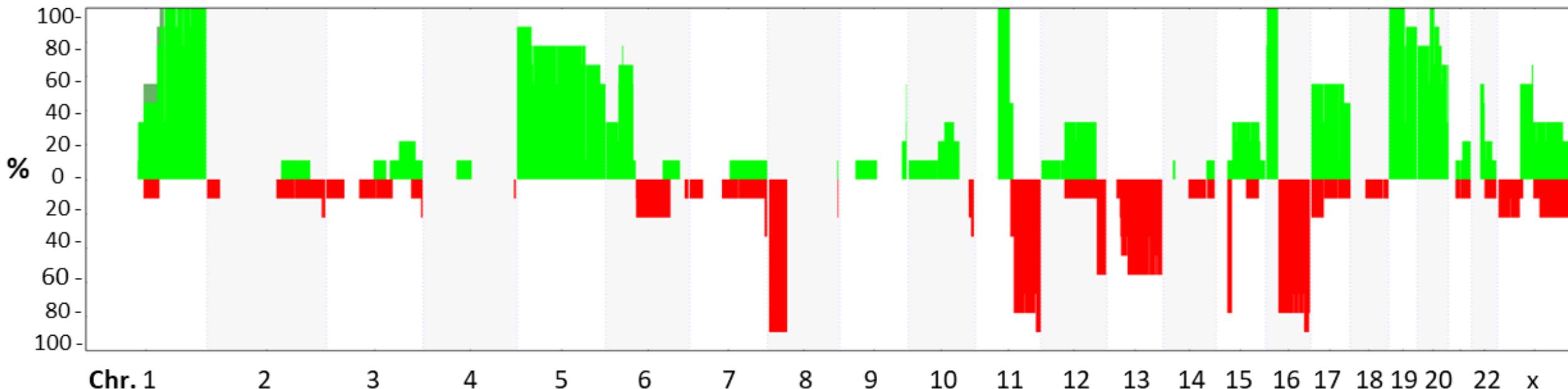


# Detection of CTC during radiotherapy of brain metastases

**Radiotherapy (WBRT/STRS):**  
e.g. 1x 23.0 Gy or 10x 3.0 Gy

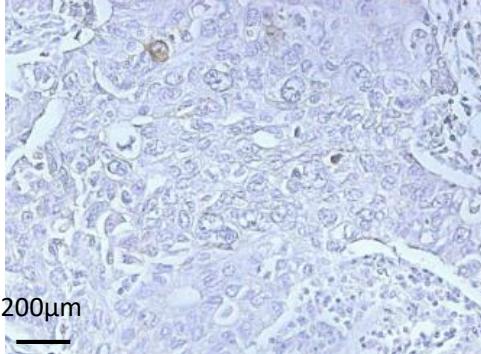


NGS-based exome sequencing of single CTCs isolated from blood

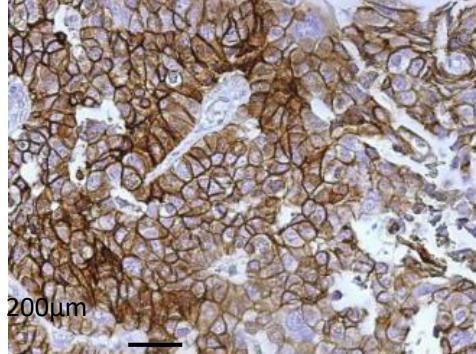


# Role of ALCAM in NSCLC brain metastases

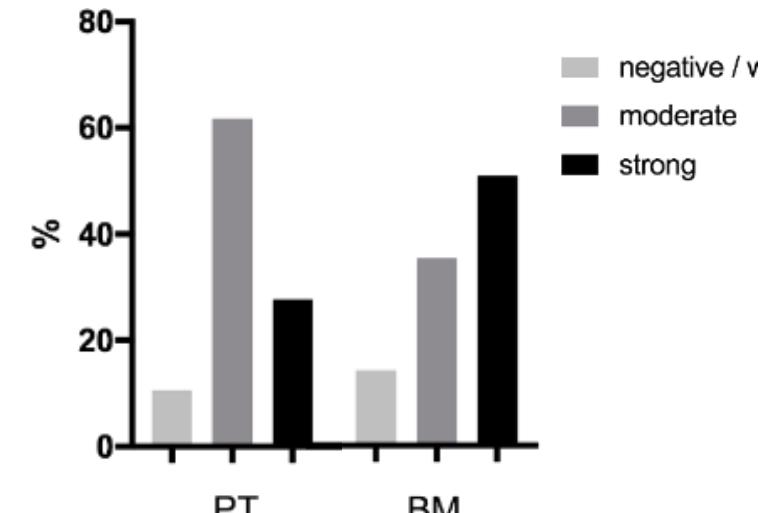
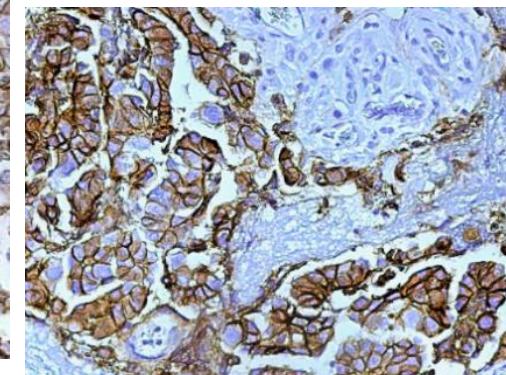
primary tumor



brain met 1

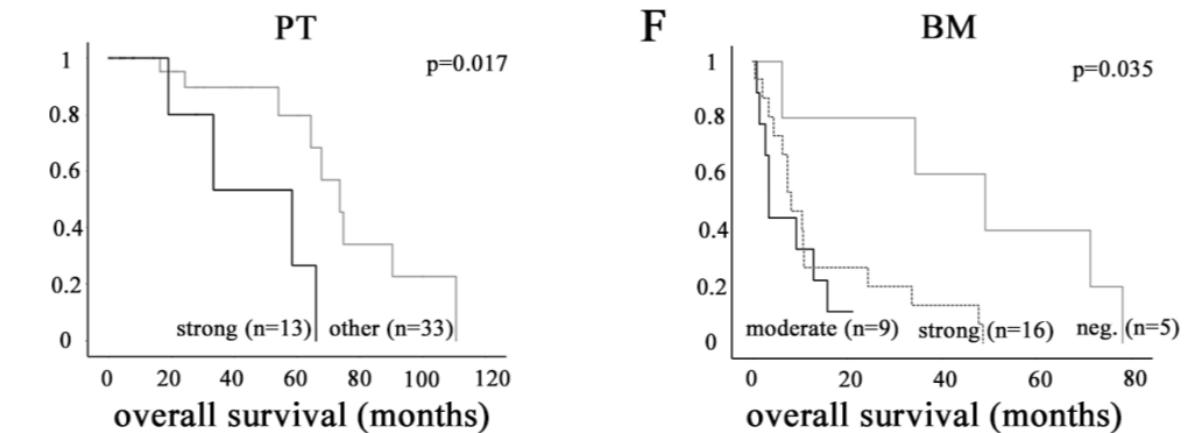


brain met 2

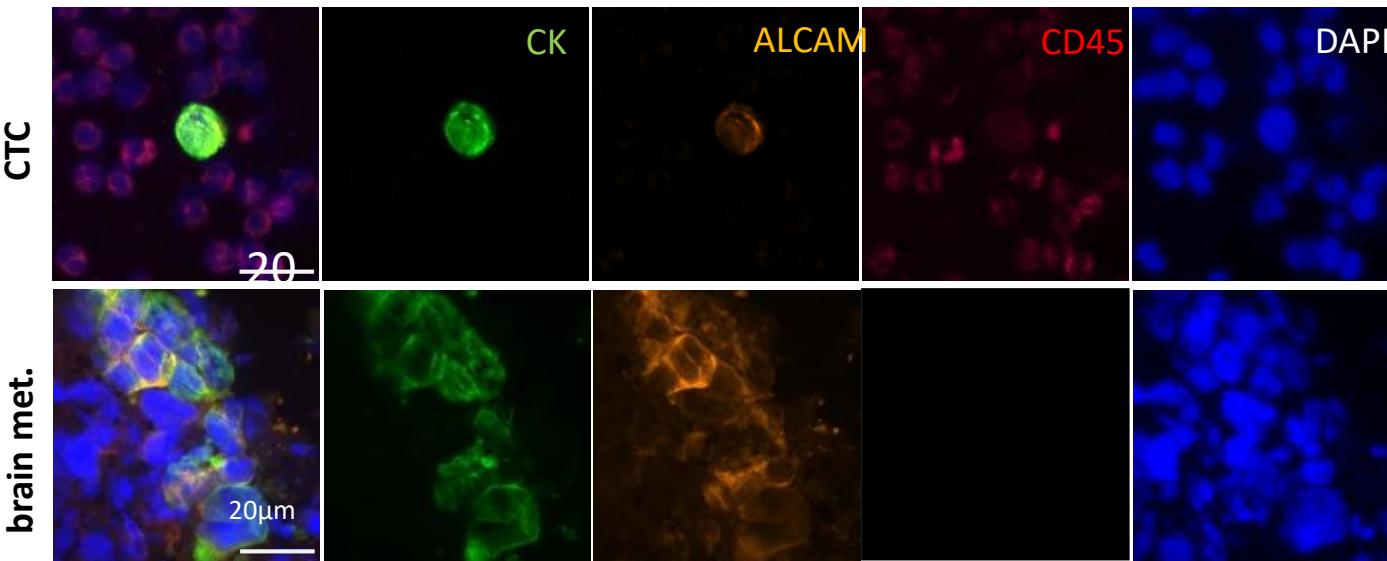


=> 30% of patients: *de novo* ALCAM expression in matched brain metastases

=> High ALCAM expression is associated with worse prognosis



# ALCAM expression on CTCs in NSCLC brain metastases

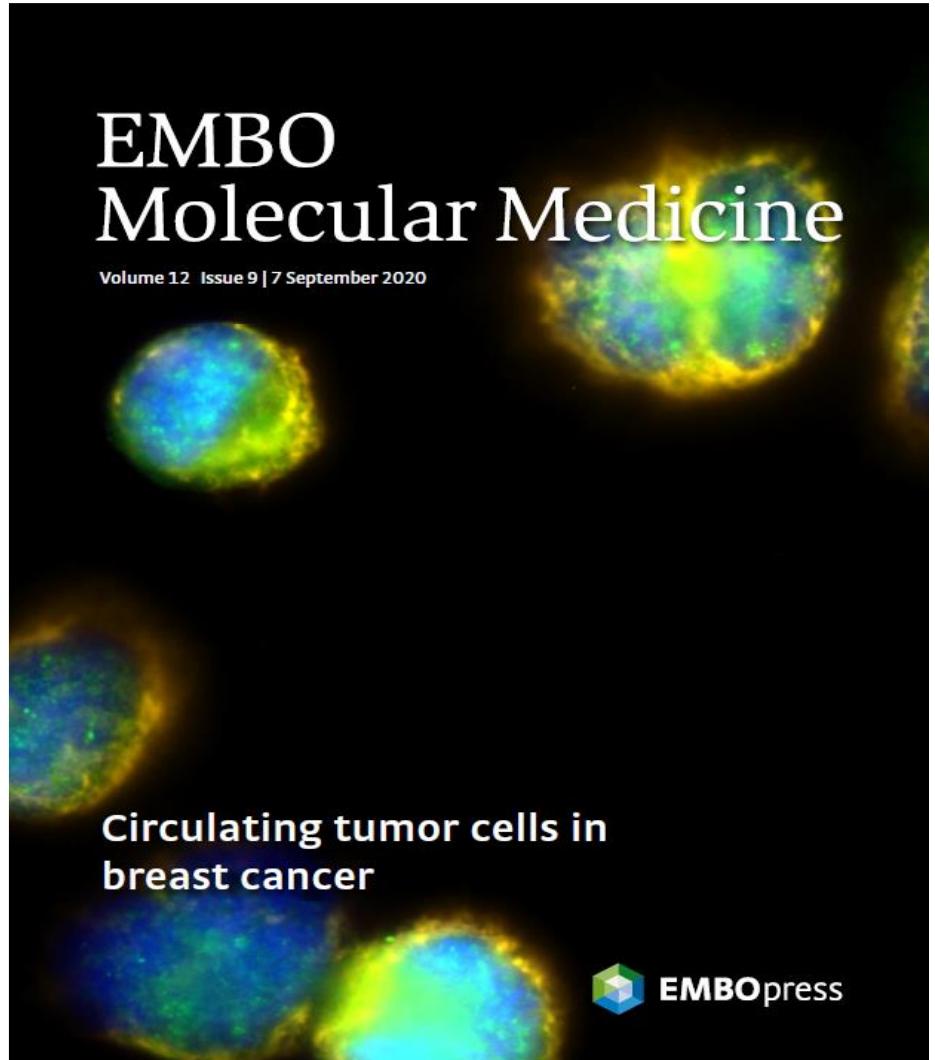


	<b>CTC</b>	<b>BM</b>
<b>Pat. 1</b>	neg	neg
<b>Pat. 2</b>	pos	pos
<b>Pat. 3</b>	weak	weak
<b>Pat. 4</b>	pos	pos

=> ALCAM expression on CTCs isolated from patients blood correlated with ALCAM expression on matched brain metastases

## **Establishment of experimental models:**

- Understanding the biology of CTCs**
- Drug screening**



# EMBO Molecular Medicine

Volume 12 Issue 9 | 7 September 2020

Circulating tumor cells in  
breast cancer

EMBOpress

Article

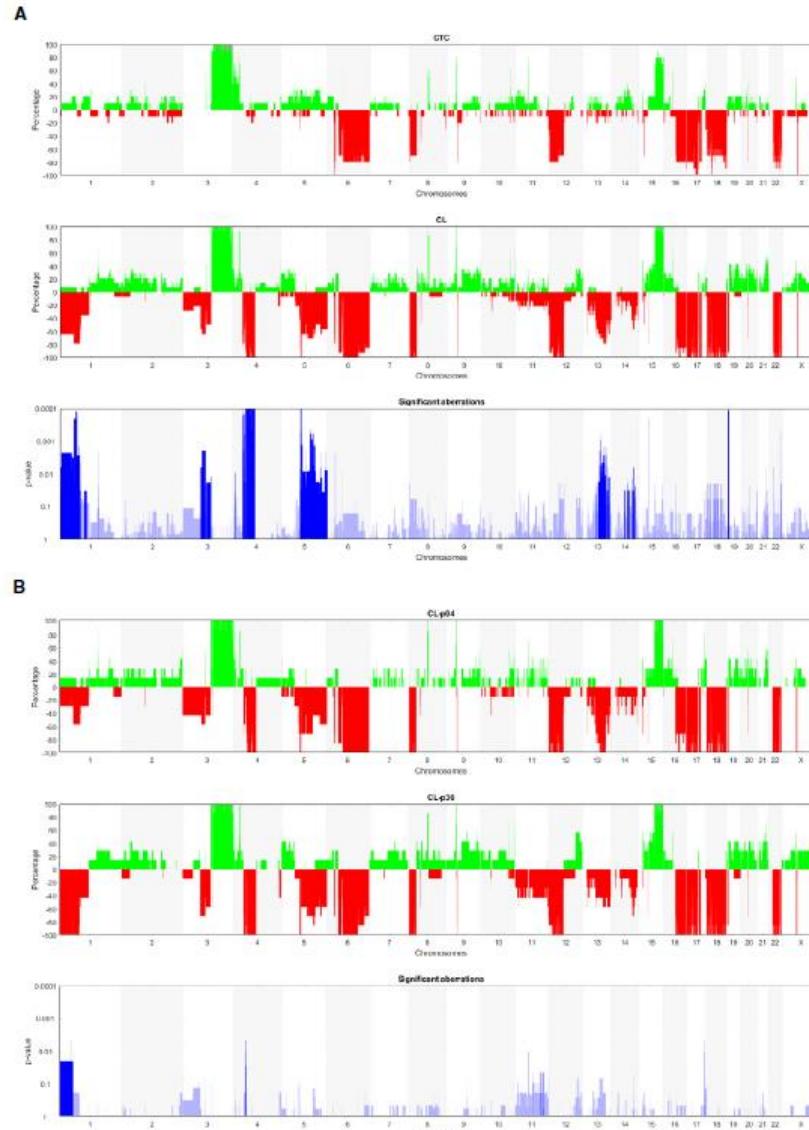


EMBO  
Molecular Medicine

## Characterization of circulating breast cancer cells with tumorigenic and metastatic capacity

Claudia Koch<sup>1,†</sup>, Andra Kuske<sup>1,†</sup>, Simon A Joosse<sup>1</sup> , Gökhan Yigit<sup>2</sup>, George Sfomos<sup>3</sup> , Sonja Thaler<sup>4</sup>, Daniel J Smit<sup>5</sup> , Stefan Werner<sup>1</sup>, Kerstin Borgmann<sup>6</sup>, Sebastian Gärtner<sup>1</sup>, Parinaz Mossahebi Mohammadi<sup>1</sup>, Laura Battista<sup>3</sup>, Laure Cayrefourcq<sup>7,8</sup>, Janine Altmüller<sup>9</sup>, Gabriela Salinas-Riester<sup>10</sup>, Kaamini Raithatha<sup>10</sup>, Ame Zibat<sup>2</sup>, Yvonne Goy<sup>6</sup>, Leonie Ott<sup>1</sup>, Kai Bartkowiak<sup>1</sup>, Tuan Zea Tan<sup>11</sup> , Qing Zhou<sup>12</sup> , Michael R Speicher<sup>12</sup> , Volkmar Müller<sup>13</sup>, Tobias M Gorges<sup>1</sup>, Manfred Jücker<sup>5</sup>, Jean-Paul Thiery<sup>14</sup> , Cathrin Brisken<sup>3,15,‡</sup>, Sabine Riethdorf<sup>1,‡</sup> , Catherine Alix-Panabières<sup>7,8,‡</sup> & Klaus Pantel<sup>1,\*</sup>

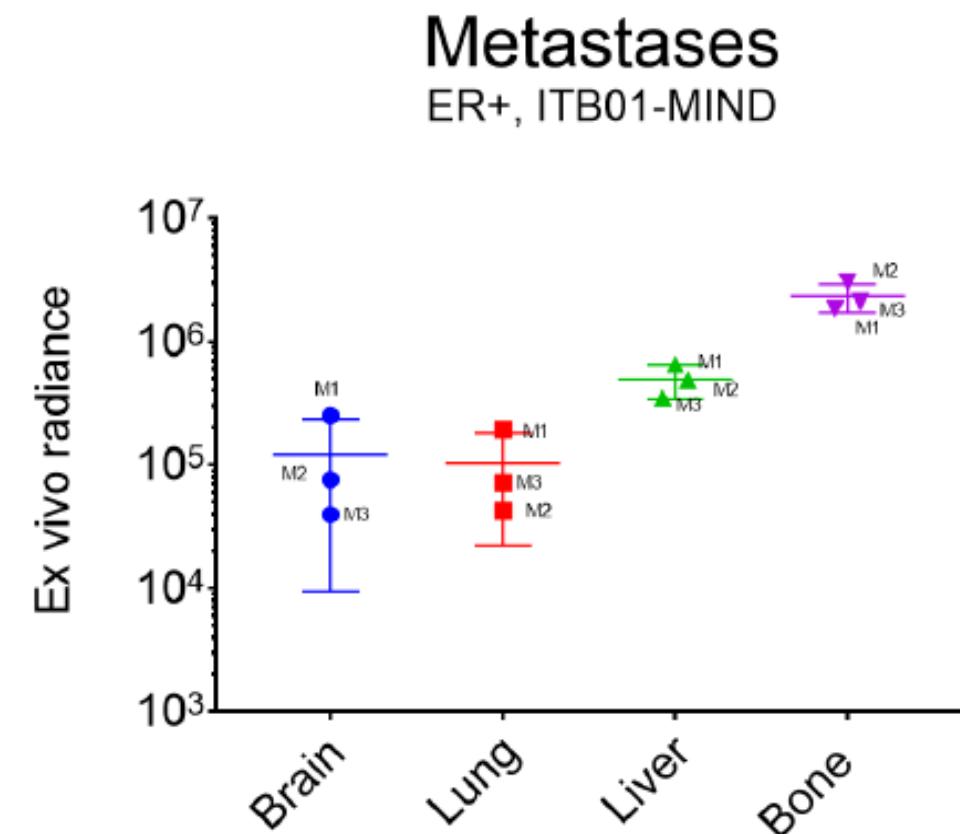
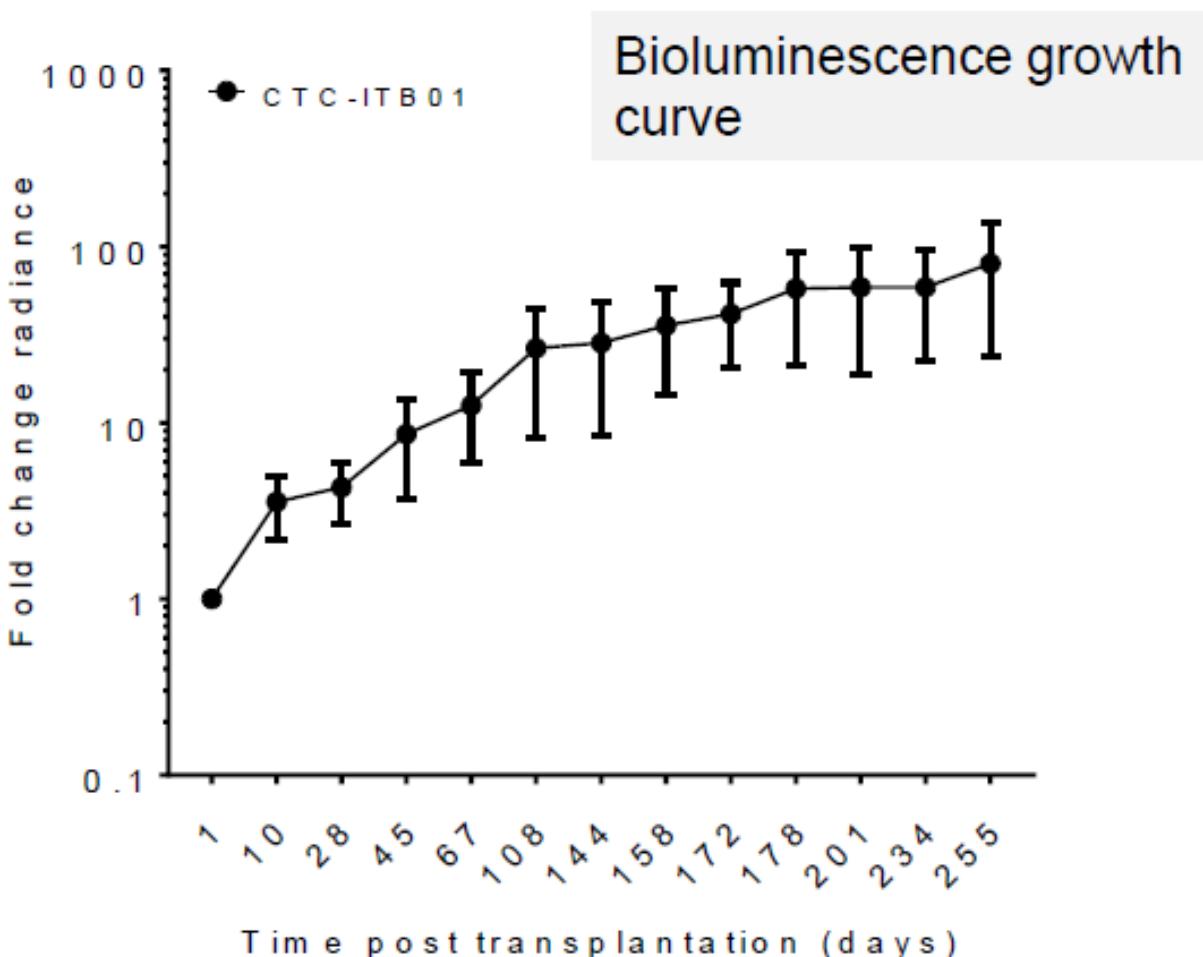
# Genomic profiling (CNA) of CTC line and primary CTCs

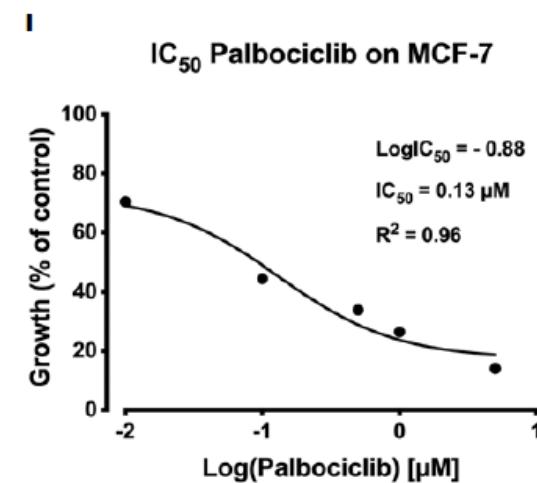
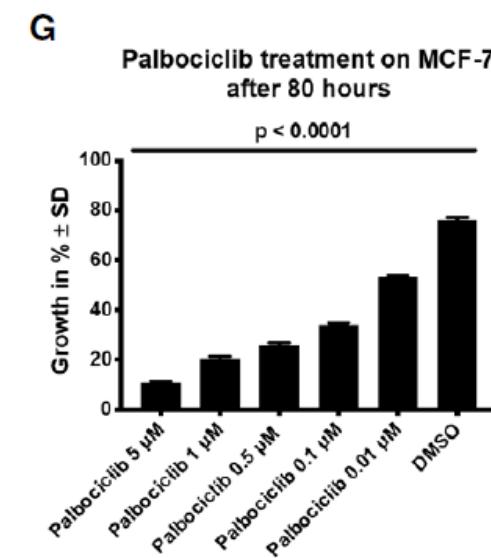
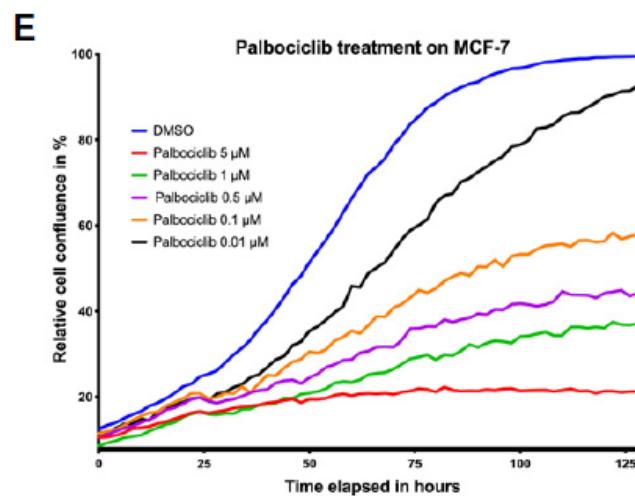
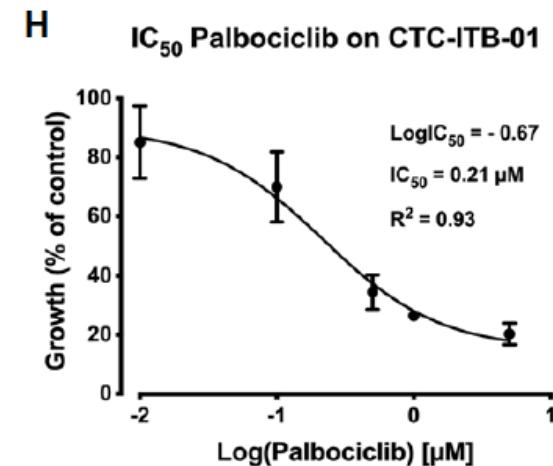
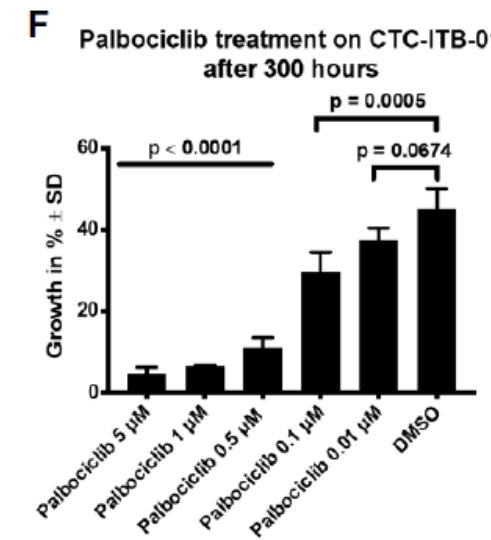
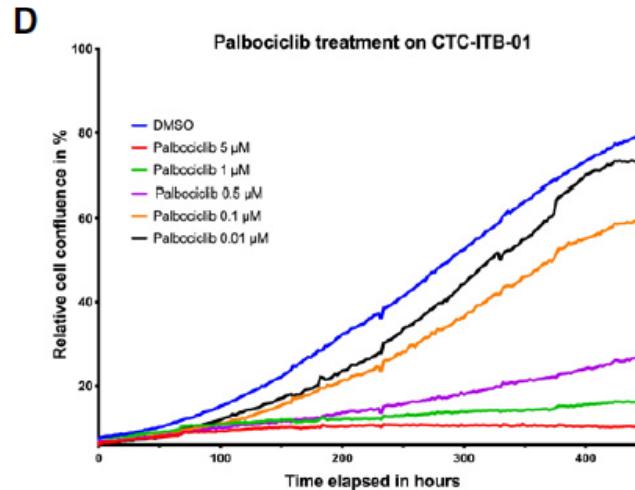


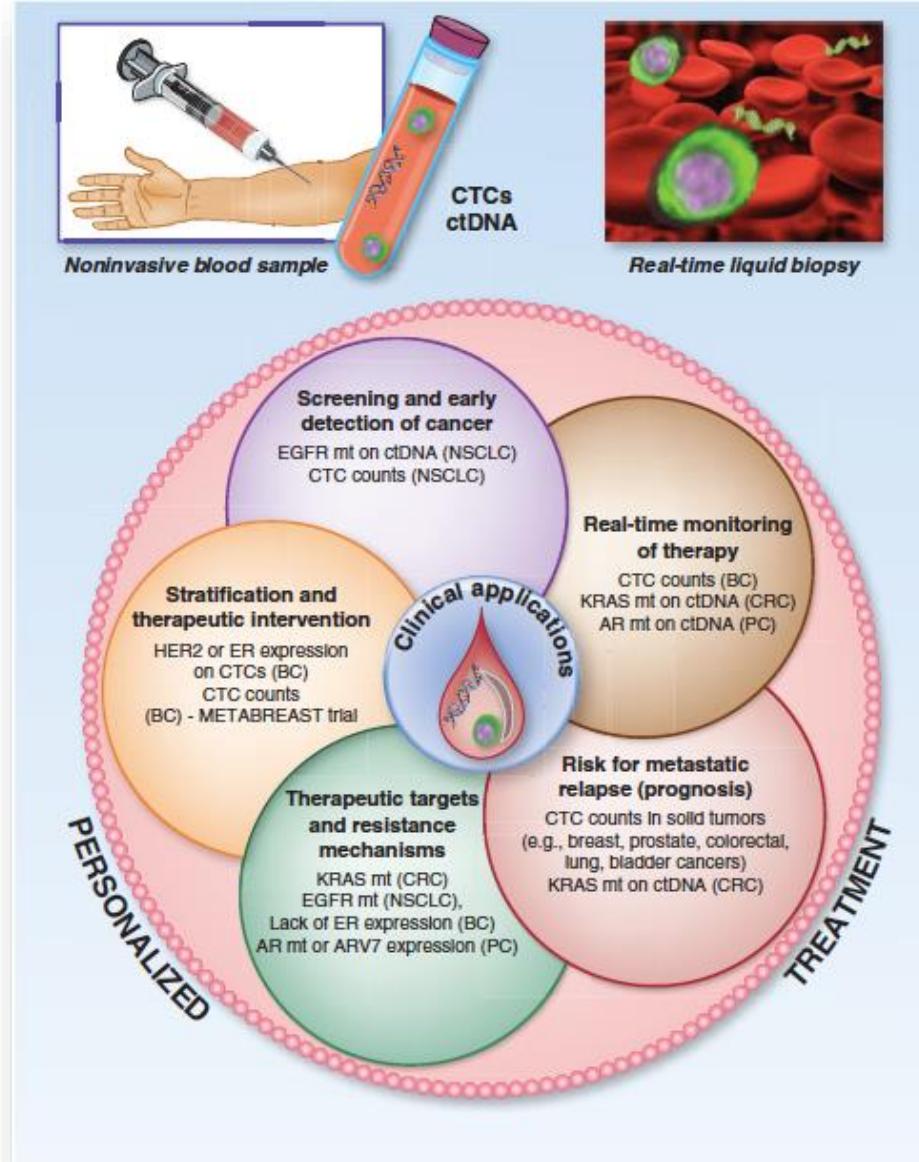
Common aberrations of  
CTC-ITB-01 cells and  
primary CTCs

CNA profile of CTC-ITB-01  
remains stable during  
culture

# *In vivo* growth and metastasis of a new human ER+ CTC line after intra-mammary injection into immunodeficient mice







## Conclusions:

**Liquid biopsy  
can provide clinically relevant  
information**

**Assays need to be validated  
and harmonized (QA)**

**Interventional clinical studies  
are required to demonstrate  
clinical utility of liquid biopsy**

# Liquid Biopsy Research Network at UKE

(since 1999, > 300 publications)

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Immunologie	Klin. Chemie Laboratoriumsmedizin	Med. mikrobiologie, Virologie, Hygiene	Dermatologie und Venerologie	Hals-, Nasen- und Ohrenheilkunde	Allge.-, Viszeral- und Thoraxchirurgie	Osteologie und Biomechanik
Neuropathologie	Rechtsmedizin	Transfusionsmedizin	Unfall-, Hand- und Wiederherstellungs- chirurgie	Viszerale Transplantations- chirurgie	Knochenmarktrans- plantation (Med II)	Stammzelltrans- plantationschirurgie
Anatomie und Experimentelle Morphologie	Biochemie und Molekulare Zellbiologie	Experimentelle Herz- Kreislaufforschung	Dermatologie und Venerologie	Gynäkologie	Martini-Klinik	Urologie
Experimentelle Pharmakologie und Toxikologie	Medizinische Biometrie und Epidemiologie	Osteologie und Biomechanik	Gastroentero-logie (Med II)	Interdisziplinäre Endoskopie	Onkologie (Med II)	Pneumologie (Med II)
Tumorbioologie	Medizinische Systembiologie	Neuroimmunologie und Multiple Sklerose	Pädiatrische Hämatologie und Onkologie	Neurochirurgie	Neurologie	Neuroradiolo-gische Diagnostik und Intervention
Arbeitsmedizin			Strahlentherapie	Zahnärztliche Prothetik		

# Center of Experimental Medicine

## Institute of Tumor Biology - THE TEAM !



### Funding:

ERC Advanced Investigator Grants „DISSECT“ & “INJURMET”



Deutsche  
Forschungsgemeinschaft



ERC PoC Grant „CTCapture“

EU/IMI, EU TRANSCAN

DFG, BMBF

Deutsche Krebshilfe  
(Mildred-Scheel-Nachwuchszentrum)



European Research Council  
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Bundesministerium  
für Bildung  
und Forschung

## Major Symposium at AACR Annual Meeting 2022, April 8-13, 2022, New Orleans, USA

### Liquid biopsy: From Discovery to Clinical Application

Tuesday, April 12, 2022, 12:30 pm - 2:00 pm.

**Chairperson:** **Klaus Pantel**, University Medical Center  
Hamburg-Eppendorf, Germany

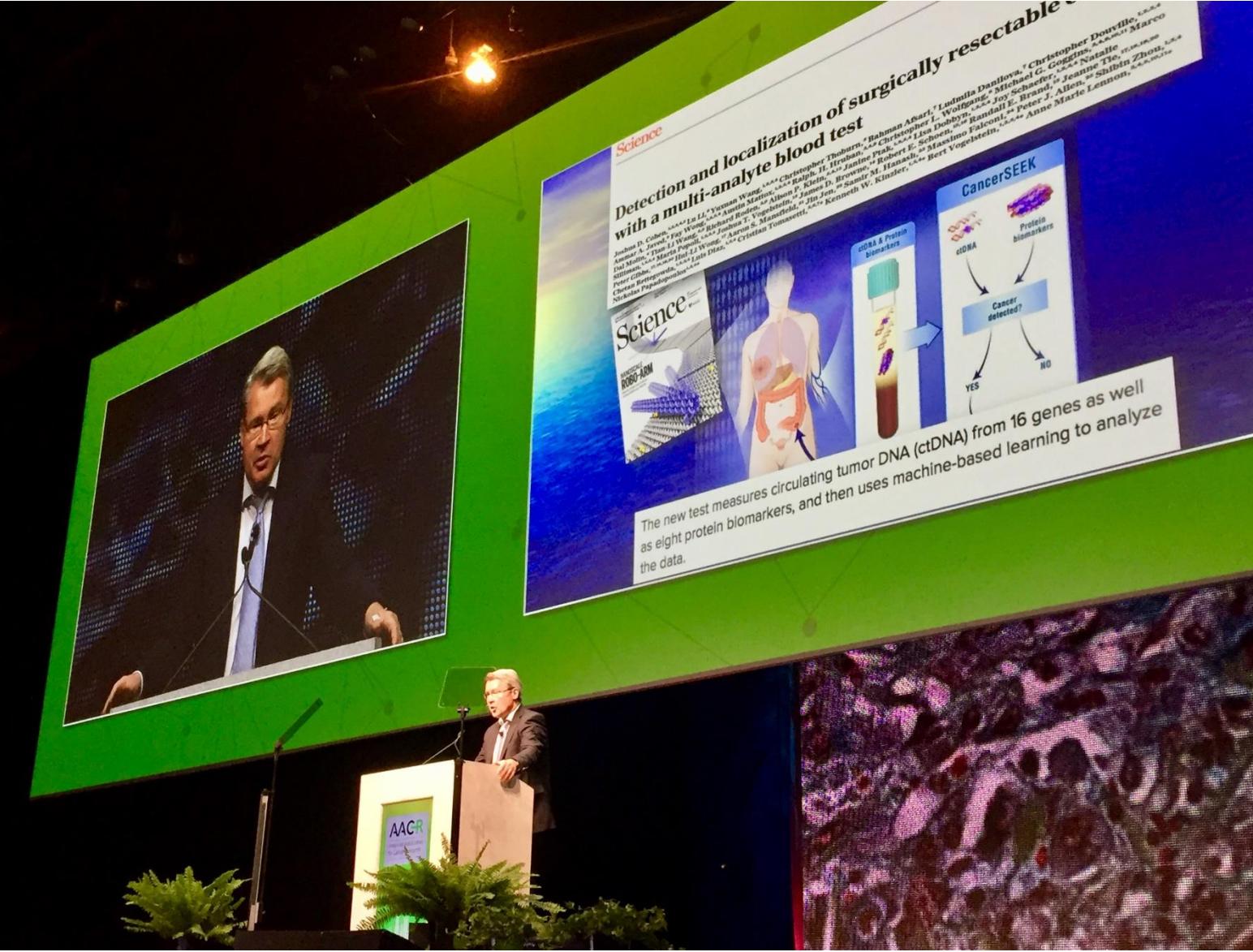
Speakers:

**Klaus Pantel:** Opportunities and challenges of liquid biopsy research

**Catherine Alix-Panabières**, University Medical Center of Montpellier, France: Biology and clinical relevance of circulating tumor cells for precision medicine

**Victor E. Velculescu**, John Hopkins University School of Medicine, Baltimore, USA: Clinical relevance of cell-free DNA fragmentomes for cancer detection and monitoring

# Annual AACR Meeting in Chicago, Open Plenary Session, 15 April 2018



*Gordon Research Conferences*  
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**Date and Location:**  
June 19-June 24, 2022  
**Mount Holyoke College, 50 College Street, South Hadley, MA, United States**

**Organizers:**  
Chair: *Klaus Pantel, University Medical Center Hamburg-Eppendorf, Germany*  
Vice Chair: *Shana Kelley, University Toronto, Canada*

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